

**PHU HUNG SECURITIES
CORPORATION**

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

No: 57/2019/PHS-BTKCT

Re: *Disclosing information related to
AGMS*

Ho Chi Minh City, March 28th, 2019

To:

- **STATE SECURITIES COMMISSION;**
- **HA NOI STOCK EXCHANGE;**
- **HO CHI MINH STOCK EXCHANGE.**

Name: **PHU HUNG SECURITIES (“PHS”)**

Headquarters: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City

Phone: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Article 9 Circular 155/2015/TT-BTC providing guidance on information disclosure on securities market and Article 8.1 Decree 71/2017/NĐ-CP providing guidance on corporate governance applicable to public companies, PHS sincerely discloses the information concerning the Annual General Meeting of Shareholders (AGMS) approved by Boards of Directors (BOD) dated March 28th, 2019, as follows:

1. Voting ratio: 01 share – 01 voting right;
2. Meeting time: 02:00 PM, April 17th, 2019;
3. Venue: 5th Floor, CR3-05A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City;
4. Contents of AGMS:
 - a. Discussing and approving contents in the authorization of BOD.
 - b. Re-appointing Mr.Chen Chia Ken to become General Director.

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Shareholders Information.

PHS commits that the information disclosure above is genuine and takes entire responsibility to the laws for disclosed content.

Attached documents:

- Resolution No. 01/38_0319/NQ-HDQT.

Recipient:

- As above;
- Save: Company's Secretariat.

**PERSON TO IMPLEMENT DISCLOSURE
OF INFORMATION**



MR. CHEN CHIA KEN

Ref. No.: 01/38_0319/NQ-HĐQT

Ho Chi Minh City, March 28th, 2019

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2016 – 2019)

- Pursuant to Law on Enterprises 2014;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/38_0319/BB-HĐQT dated March 28th, 2019 of Board of Directors (BOD) of the Company;

RESOLVE:

Article 1: Resolution 1

The Board of Directors passed the Report on result of capital injection up to VND 700,000,000,000 (seven hundred billion Vietnam dong), main details as below:

1. As approved by Extra General Meeting of Shareholders ("EGMS") dated October 04th, 2018, the dossier of private share issue and dossier of capital injection up to 700 Billion VND were submitted to State Securities Commission ("SSC").
2. On 29 November 2018, SSC approved the dossier of private share issue of PHS.
3. On 26 December 2018, SSC issued the revised license which updated the new charter capital of PHS being VND 700,000,000,000 (Seven hundred billion Vietnam Dong).

Article 2: Resolution 2

The Board of Directors passed the Agenda and documents using in the Annual General Meeting of Shareholders ("AGMS") dated April 17th, 2019, main details as below:

1. Agenda of the AGMS dated April 17th, 2019.
2. The list of shareholders attending the AGMS finalized on 28 March 2019.
3. Documents sent to shareholders to attend the AGMS dated April 17th, 2019.
 - 3.1 Invitation Letter for attending AGMS dated April 17th 2019;
 - 3.2 POA for the authorized person of shareholders to attend the AGMS dated April 17th, 2019.
 - 3.3 Agenda of the AGMS dated April 17th, 2019.
4. The Voting guidelines of AGMS dated April 17th, 2019.
5. The Draft of Meeting Minutes and Resolution of AGMS dated April 17th, 2019.



Article 3: Resolution 3

The Board of Directors passed the Audited Financial Statement of 2018.

Article 4: Resolution 4

The Board of Directors passed the Report of the Board of Directors on the Business performance in 2018

Article 5: Resolution 5

The Board of Directors passed the Report on Corporate Governance of PHS of 2018.

Article 6: Resolution 6

The Board of Directors passed the Dividend Payment for Year 2018, main details as below:

1. Profit distribution plan in 2018 is proposed as follows:

- Accounting Profit after tax in 2018: 37,449,983,130 VND
- Undistributed accumulated profits up to 31/12/2018: 45,864,919,566 VND
- Dividend 2018 pay cash: 3.3% par value: 23,099,838,300 VND
(excluding treasury shares)

2. Submit to Annual General Meeting of Shareholders pass the authorization to BOD to choose the suitable time and proceed the procedure to pay dividend for shareholders

Article 7: Resolution 7

The Board of Directors passed the 2019 Development and Business Plan.

Article 8: Resolution 8

The Board of Directors passed the Financial Budget Plan for Year 2019.

Article 9: Resolution 9

The Board of Directors passed the Selection of Auditor for Year 2019, main details as below:

- Auditor: KPMG Viet Nam Co.,Ltd
- Address: 10/F, Sun Wah Tower, 115 Nguyen Hue, District 1, HCMC.
- Tel: 028 3821 9266
- Fax: 028 3821 9267

Article 10: Resolution 10

The Board of Directors passed the Revised Charter of PHS.

Article 11: Resolution 11

The Board of Directors passed the Additional appointment of General Director to be the legal representative, main details as below:

1. Pass the additional appointment of General Director to be the legal representative of the Company;
2. Pass the authorization for BOD to do assignments of the appointment of the legal representative.



Article 12: Resolution 12

The Board of Directors passed the Establishment of Representative office of Company in Myanmar (Type of enterprise in Myanmar: Overseas Corporation), main details as below:

1. The establishment of Representative office of Company in Myanmar (Type of Enterprise in Myanmar: Overseas Corporation).
2. The delegation to Board of Directors to implement and sign all documents related to the necessary procedures accordance with law for establishment of Representative office of Company in Myanmar (Type of Enterprise in Myanmar: Overseas Corporation).

Article 13: Resolution 13

The Board of Directors passed the Re-appointment of Mr. Chen Chia Ken to be General Director of Company (Term 2019-2022), main details as below:

1. Appointed Member:	Mr. CHEN CHIA KEN
Passport No:	307164597
Date Issue:	15 May 2013
Issue Place:	Taiwan

Article 14: Resolution 14

The Board of Directors passed the Report on Utilization of Capital raised from 11 January 2018 to 31 March 2018 from the private placement of shares from 26 December 2017 to 27 December 2017.

Article 15: Resolution 15

The Board of Directors passed the Listing stock PHS on Ho Chi Minh Stock Exchange (HOSE) and the authorization for BOD to do assignments of listing shares on HOSE.

Article 16: Resolution 16

The Board of Directors passed the Introduction of Nomination on Members of BOD and Members of Inspection Committee of Company (Term 2019-2022), main details as below:

1. Phu Hung Far East Corporation will nominate 3 persons to be the BOD members and 3 persons to be the Member of Inspection Committee (Term 2019-2022) as following:
- BOD members:
 - Mr. Albert Kwang-Chin Ting
 - Mr. Chen Chia Ken
 - Mr. Nguyen Doan Hung
 - Inspection Committee members:
 - Mr. Liew Sep Siang
 - Mr. Lii, San-Rong
 - Mr. Chiu, Hsien-Chih
2. Freshfield Capital Corporation will nominate 1 person to be the Independent BOD member (Term 2019-2022) as following:
- Liu, Hsiu-Mei
3. Vu Thai Investment Consultant Ltd. will nominate 1 person to be the BOD members (Term 2019-2022) as following:
- Wu, Jin-Jeng

Article 17: Resolution 17

The Board of Directors passed the POA for General Director to be the Meeting Chairman and sign the documents of the AGMS dated April 17th, 2019.

Article 18: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As Article 18;
- Archived at BOD Secretary .

For and on behalf of B.O.D.
CHAIRMAN
CỘNG TY
CỔ PHẦN
CHỨNG KHOÁN
PHÚ HƯNG
QUẬN 7 - T.P HỒ CHÍ MINH
Albert Kwang-Chin Ting