

**PHU HUNG SECURITIES
CORPORATION**

**SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness**

No: 72/2019/PHS-BTKCT

Re: *Dislosing information approved by
BOD on April 25th, 2019*

Ho Chi Minh City, April 25th, 2019

To:

- **STATE SECURITIES COMMISSION;**
- **HA NOI STOCK EXCHANGE;**
- **HO CHI MINH STOCK EXCHANGE.**

Name: **PHU HUNG SECURITIES (“PHS”)**

Headquarters: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City

Phone: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Article 9 of Circular 155/2015/TT-BTC on providing guidance on information disclosure on securities market, PHS sincerely discloses the information approved by Board of Directors (BOD) on April 25th, 2019, as follows:

- Suspending to receive the dossiers of transferring the ownership of securities of PHS code from May 10th, 2019.
- Finalizing the list of shareholders of PHS code on May 13th, 2019.

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Shareholders Relations/ Shareholders Information.

PHS commits that the information disclosure above is genuine and takes entire responsibility to the laws for disclosed content.

Attached documents:

- The Resolution No. 04/39_0419/NQ-HĐQT dated April 25th, 2019;
- Official letter No. 77/2019/PHS-TV.

Recipient:

- As above;
- Save: Company’s Secretariat.

**PERSON TO IMPLEMENT DISCLOSURE
OF INFORMATION**



MR. CHEN CHIA KEN

Ref. No.: 04/39_0419/NQ-HĐQT

Ho Chi Minh City, April 25th, 2019

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2019 – 2022)

- Pursuant to Law on Enterprises 2014;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/39_0419/BB-HĐQT dated April 25th, 2019 of Board of Directors (BOD) of the Company;

RESOLVE:

Article 1: Resolution 5

The Board of Directors passed the Date to finalize the list of shareholders to trade in UPCOM, main details as below:

1. The Record Date to finalize the list of shareholders to trade in UPCOM will be 13th May, 2019.
2. From 10th May, 2019, shareholders will be suspended to transfer PHS's shares till shares are listed in UPCOM

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 02;
- Archived at BOD Secretary.

For and on behalf of B.O.D.

Chairman



Alben Kwang-Chin Ting

**PHU HUNG SECURITIES
CORPORATION**

**SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom – Happiness**

No.: 77/2019/PHS-TV

Ho Chi Minh City, April 25th, 2019

Ref: finalizing the list of shareholders and suspending to receive the dossiers of transferring the ownership of securities of PHS code

To: VIETNAM SECURITIES DEPOSITORY (VSD)

Phu Hung Securities Corporation (PHS) would like to send our sincere greeting to you.

Pursuant to Decision No. 56/QĐ-UBCK dated 31/01/2013 issued by SSC, Contract for providing service signed between PHS and VSD, and Resolution No. 04./39_0419/NQ-HĐQT dated April 25th, 2019 of Board of Directors (“BOD”) of PHS, PHS would like to announce as follows:

Currently, PHS is conducting procedures for registering PHS’ shares to trade in UPCOM at Hanoi Stock Exchange. As a result, for this purpose, PHS recommends VSD to support conducting the following contents:

1/Suspending to receive the dossiers for transferring the ownership of securities of PHS code in accordance to Decision No. 56/QĐ-UBCK dated 31/01/2013 issued by SSC and Resolution No. 04./39_0419/NQ-HĐQT dated April 25th, 2019 of BOD of Phu Hung Securities Corporation from the date of May 10th, 2019.

2/Finalizing and sending our Company the List of shareholders of stock PHS as of May 13th, 2019.
- Address for receiving the hard copy: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7, Ho Chi Minh City.
- Email address for receiving the soft copy: support@phs.vn and trangtran@phs.vn

We certify that all above information are true and be fully responsible for the content of provided information.

Sincerely.

Recipients:
- As above;
- Company’s Secretariat.
- Advisory



LEGAL REPRESENTATIVE
(Signature, seal)

ALBERT KWANG-CHIN TING
CHAIRMAN OF BOARD OF DIRECTORS