

No: 130/2018/PHS-BTKCT

Re: *Information disclosure on the appointment of
Director of District 3 Branch*

Ho Chi Minh City, August 21st, 2018

**INFORMATION DISCLOSURE ON WEBSITE
OF STATE SECURITIES COMMISSION AND THE STOCK EXCHANGES**

**To: STATE SECURITIES COMMISSION;
HA NOI STOCK EXCHANGE;
HO CHI MINH STOCK EXCHANGE;**

Name: PHU HUNG SECURITIES CORPORATION (“PHS”)

Headquarters: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City.

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Person to implement disclosure of information: Mr. Chen Chia Ken

Type of Information disclosure: 24 hours 72 hours Unusual Periodic**Information of disclosed content:**

According to Article 9.1 of Circular 155/2015/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses the information on appointing **Mr. Le Anh Tri** as **Director of District 3 Branch**.

Mr. Le Anh Tri’s appointment has been approved by Board of Directors (“**BOD**”) in the Resolution No. 03/31_0718/NQ-HDQT on August 21st, 2018, and General Director has signed the Decision No. 07/2018/QĐ-TGD dated August 21st, 2018 on appointment of Mr. Le Anh Tri. *(Please refer the attachment as stated below)*.

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Investor Relations, and section Shareholders Information.

PHS commits the information disclosure above is true and takes entire responsibility to laws for information of disclosed content.

Attached documents:

1. Resolution No. 03/31_0718/NQ-HDQT dated August 21st, 2018 on approval of plan on changing Director of District 3 Branch;
2. Decision No. 07/2018/QĐ-TGD dated August 21st, 2018 of General Director on the appointment on Director of District 3 Branch;
3. Mr. Le Anh Tri’s Curriculum vitae.

Recipient:

- As above;
- Archive.

**Mr. CHEN CHIA KEN**

Ref. No.: 03/31_0718/NQ-HĐQT

Ho Chi Minh City, August 21st, 2018

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2016 – 2019)

- Pursuant to Law on Enterprises 2014;
- Pursuant to The current Law on Securities;
- Pursuant to The current Charter of Phu Hung Securities Corporation;
- Pursuant to The Meeting Minutes No. 01/31_0718/BB-HĐQT dated August 21st, 2018 of Board of Directors (BOD) of the Company;

RESOLVE:

Article 1: Resolution 5

The Board of Directors passed the plan of changing the Director of District 3 Branch, main details as below:

Currently, Mr. Pham Thanh Tuong is the Director of District 3 Branch and Phu My Hung Trading Office according to SSC's approval.

Based on the business and employee situation at District 3 Branch, the Board of Directors approved the changing the Director of District 3 Branch from Mr. Pham Thanh Tuong to Mr. Le Anh Tri with information as follows:

- Full name: Mr. Le Anh Tri
- Date of birth: April 22nd, 1986
- ID number: 066086000036 dated May 24th, 2016 in Police Department of Residence Registration, Management and National Population Database.

The Board of Directors assigns General Director to sign the Decision on appointment of Mr. Le Anh Tri as the Director of District 3 Branch and other documents in the application files on changing the Director of District 3 Branch.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As Article 02;
- Archived at BOD Secretary.

For and on behalf of Board of Directors
CHAIRMAN
CÔNG TY CỔ PHẦN
CHỨNG KHOÁN
PHÚ HƯNG
QUẬN 7 - T.P. HỒ CHÍ MINH
Albert Kwang-Chin Ting



Ref. No.: 07/2018/QĐ-TGD

Ho Chi Minh City, August 21st, 2018

DECISION
Re: position appointment

GENERAL DIRECTOR OF PHU HUNG SECURITIES CORPORATION

- Pursuant to the Securities Operation License No 122/GP-UBCK,
- Based on the functions and powers of General Director of Phu Hung Securities Corporation,
- In consideration of employee's performance;

DECIDE

Article 1: Appointment:

- Mr: **LE ANH TRI** (Employee code: 1019)
- Current position: Branch Director
- New position: Branch Director and the representative of District 3 Branch
- Division/ Department: Brokerage – Account Management

Article 2: Roles and responsibilities

1. Perform work within the scope of your roles & responsibilities.
2. Complying with Phu Hung Securities Corporation's policies.

Article 3: Implementation

Mr Le Anh Tri, HR Division and other relevant departments are responsible for implementing this decision.

Article 4: Effectiveness

This decision comes into effect as from August 21st, 2018 until the Company issues the new decision.

Received:

- As Article 3;
- Archive at HR, BOM

