

**PHU HUNG SECURITIES
CORPORATION**

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

No.: 115/2018/PHS-BTKCT

Re.: *Information disclosure on changing
member of Inspection Committee*

Ho Chi Minh City, July 18th 2018

**INFORMATION DISCLOSURE ON WEBSITE
OF STATE SECURITIES COMMISSION AND THE STOCK EXCHANGES**

**To: STATE SECURITIES COMMISSION;
HA NOI STOCK EXCHANGE;
HO CHI MINH STOCK EXCHANGE;**

Name: PHU HUNG SECURITIES CORPORATION (“PHS”)

Headquarters: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City.

Phone: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of Information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Article 9.1 of Circular 155/2015/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses the information on the change on member of Inspection Committee prescribed in Meeting Minutes of Inspection Committee No. 01/07_0218/BB-BKS dated July 18th, 2018 (“**Meeting Minutes**”), as follow:

1. Inspection Committee acknowledged the resignation of Ms. Kuo, Chih-Chia;
2. Other remaining members of Inspection Committee consensually appoint Ms. Chang, Wen-Ning to be new temporary member of Inspection Committee;
3. Inspection Committee appoints Ms. Chang, Wen-Ning to be temporary Head of Inspection Committee.
4. All the content acknowledged in the Meeting Minutes shall be submitted for approval of the latest General Meeting of Shareholders.

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Investor Relations, and section Shareholders Information.

PHS commits the information disclosure above is true and takes entire responsibility to laws for information of disclosed content.

Attached documents:


1. Meeting Minutes of Inspection Committee No. 01/07_0218/BB-BKS dated July 18th, 2018;
2. Ms. Chang, Wen-Ning's Curriculum vitae.

Recipient:

- As above;
- Archive.

**PERSON TO IMPLEMENT
DISCLOSURE OF INFORMATION**
Mr. CHEN CHIA KEN



	MINUTES OF INSPECTION COMMITTEE MEETING (I.C) (Session 07_0218)	No. 01/07_0218/BB-BKS
---	--	------------------------------

Meeting Time : July 18th, 2018 (Wednesday)
Meeting Type : Written Discussion *(All Members' opinions shall be discussed in the form of Request for Opinion)*

AGENDA:

A. To report:

- 1.0. Financial Statements review in June 2018
- 2.0. Business Performance review in June 2018
- 3.0. Valuation Report on controlling system at PHS in Q1, 2018

B. To discuss and vote:

- 1.0. The Resignation of Head of Inspection Committee – Ms. Kuo, Chih-Chia
- 2.0. The Temporary appointment a new member of Inspection Committee – Ms. Chang, Wen-Ning
- 3.0. The Election on Head of Inspection Committee – Ms. Chang, Wen-Ning

C. Incidental motions: N/A

Attendees : *(See the attached Request for Opinion)*
Meeting Chairman : Mr. Chiu, Hsien-Chih - Member of IC
Meeting Secretary : Ms. Trần Huỳnh Phương Quyên - IC Secretary

- The IC passed the Agenda, the Meeting Chairman, Secretary and Proxies (if any).
- The attending IC members (including the Proxies) consisted of two (02) out of three (03) members *(details as the Request for Opinion specified in Enc. 1 herewith)*. The IC Meeting was thereby convened in validation in accordance with the Company Charter.

MEETING PROCESS:

A. To report:

- 1.0. **Subject 1: Financial Statements review in June 2018**
Content 1: Financial Statements review in June 2018 was presented to the IC for perusal (*Details as Enc. 2 herewith*).
Conclusion 1: All the attending IC Members acknowledged the Financial Statements review in June 2018
- 2.0. **Subject 2: Business Performance review in June 2018**
Content 2: Business Performance review in June 2018 was presented to the IC for perusal (*Details as Enc. 3 herewith*).
Conclusion 2: All the attending IC Members acknowledged the Business Performance review in June 2018
- 3.0. **Subject 3: Valuation Report on controlling system at PHS in Q1, 2018**
Content 3: Valuation Report on controlling system at PHS in Q1, 2018 was presented to the IC for perusal (*Details as Enc. 4 herewith*).
Conclusion 3: All the attending IC Members acknowledged the Valuation Report on controlling system at PHS in Q1, 2018

B. To discuss and vote:

- 1.0. **Subject 1: The Resignation of Head of Inspection Committee – Ms. Kuo, Chih-Chia**
Content 1: The Resignation of Head of Inspection Committee – Ms. Kuo, Chih-Chia was submitted to the IC for perusal (*Details as Enc. 5 herewith*).
Conclusion 1: All the attending IC Members acknowledged The Resignation of Head of Inspection Committee – Ms. Kuo, Chih-Chia
- 2.0. **Subject 2: The Temporary appointment a new member of Inspection Committee – Ms. Chang, Wen-Ning**
Content 2: The Temporary appointment a new member of Inspection Committee – Ms. Chang, Wen-Ning was submitted to the IC for perusal (*Details as Enc. 6 herewith*).
Conclusion 2: All the attending IC Members acknowledged The Temporary appointment a new member of Inspection Committee – Ms. Chang, Wen-Ning
- 3.0. **Subject 3: The Election on Head of Inspection Committee – Ms. Chang, Wen-Ning**
Content 3: The Temporary election on Head of Inspection Committee – Ms. Chang, Wen-Ning was submitted to the IC for perusal (*Details as Enc. 7 herewith*).
Conclusion 3: All the attending IC Members acknowledged The Election on Head of Inspection Committee – Ms. Chang, Wen-Ning



C. Incidental motions: N/A

D. General provisions:

- a) The Minutes is made in one (1) original in English and one (1) original in Vietnamese, and is filed at the Company Secretariat of the Head Office.
- b) The signed copies will be sent to the members of the IC and IC.

Enclosure:

- 1) Request for Opinion from the IC Members
- 2) Financial Statements review in June 2018
- 3) Business Performance review in June 2018
- 4) Valuation Report on controlling system at PHS in Q1, 2018
- 5) Documents: The Resignation of Head of Inspection Committee – Ms. Kuo, Chih-Chia
- 6) Documents: The Temporary appointment a new member of Inspection Committee – Ms. Chang, Wen-Ning
- 7) Documents: The Temporary election on Head of Inspection Committee – Ms. Chang, Wen-Ning

MINUTES OF THE IC MEETING

SIGNATURE OF MEMBERS



Chiu, Hsien-Chih
Member of the IC
Chairman of the IC Meeting
July 18th, 2018

Li, San-Rong
IC Member
July 18th, 2018

Trần Huỳnh Phương Quyên
Secretary of the IC Meeting
July 18th, 2018



THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

2018



CIRRICULLUM VITAE

To:

- The State Securities Commission
- Ha Noi Stock Exchange
- Ho Chi Minh City Stock Exchange

1. Full name: Chang, Wen-Ning
2. Gender: Female
3. Date of birth: 22/02/1988
4. Place of birth: Taichung City
5. ID card No.: 310611466 Date of issue 03/02/2015
Place of issue Ministry of Foreign Affairs
6. Nationality: Taiwanese
7. Ethnic
8. Permanent residence: No8, Ln. 248, Xueshi Road, North District, Taichung City, 404, Taiwan(R.O.C.)
9. Telephone number: +84 972720564
10. Email: ningshy@gmail.com
11. Current position in an institution subject to information disclosure: None
12. Positions in others companies: Deputy Finance Director
13. Number of shares inpossession: 0 share , accounting for 0% of registered capital, of which:
 - 13.1 Possesson behalf of (State/strategic investor/other institution): None
 - 13.2 Possess for own account: None
14. Other commitment of holding shares (if any): None

15. List of related persons of declarant:

No.	Name of individual/ institutional related person	Number of ID or Passport (for individual) or Number of Business Registration, License of Operation or equivalent legal documents (for institution), date of issue, place of issue	Number of shares/fund certificates in possession, ownership rate of registered capital of the public company, public fund (if any)public fund (if any)	Relationship
1	Chang, Jung-Chien			Father
2	Mao, Shu-Fen			Mother
3	Chang, Wen-Ying			Sister
4	Chang, Chien-Kai			Brother



16. Related interest with public company, public fund (if any): None

17. Conflict interest with public company, public fund (if any): None

I hereby certify that the information provided in this curriculum vitae is true and correct and I will bear the full responsibility to the law.

DECLARANT
(Signature, full name)

CHANG, WEN-NING