

**PHU HUNG SECURITIES  
CORPORATION**

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No: 167/2018/PHS-BTKCT

Ho Chi Minh City, October 9<sup>th</sup>, 2018

*Re: Information disclosure on loans approved  
by BOD dated October 9<sup>th</sup>, 2018*

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES  
COMMISSION AND THE STOCK EXCHANGES**

**To: STATE SECURITIES COMMISSION;  
HA NOI STOCK EXCHANGE;  
HO CHI MINH STOCK EXCHANGE;**

Name: PHU HUNG SECURITIES CORPORATION (“PHS”)

Headquarters: 3<sup>rd</sup> Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,  
Ho Chi Minh City.

Phone: (028) 5413 5479

Fax: (028) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of Information disclosure:  24 hours  72 hours  Unusual  Periodic

**Information of disclosed content:**

According to Article 9.1 of Circular 155/2015/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses the information related to the the loan transactions which were approved by the Board of Director (“BOD”) on October 9<sup>th</sup>, 2018, as follows:

1. The Renew Credit Line Agreement with EnTie Bank. The value of loan is USD 3,000,000 (Three million US dollars).
2. The Loan Agreement with Vu Thai Investment Consultant Company Limited. The value of loan is VND 49,000,000,000 (Forty nine billion dong).

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Investor Relations, and section Financial Information.

PHS commits the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

**Attachments:**

- Resolution No. 02/34\_1018/NQ-HDQT dated October 9<sup>th</sup>, 2018

**Recipient:**

- As above;
- Archive.

**PERSON TO IMPLEMENT DISCLOSURE OF  
INFORMATION**



**Mr. CHEN CHIA KEN**

Ref. No.: 02/34\_1018/NQ-HDQT

HCMC, October 09<sup>th</sup>, 2018

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2016 – 2019)**

- Pursuant to Law on Enterprises 2014;
- Pursuant to The current Law on Securities;
- Pursuant to The current Charter of Phu Hung Securities Corporation;
- Pursuant to The Meeting Minutes No. 01/34\_1018/BB-HDQT dated October 09<sup>th</sup>, 2018 of Board of Directors (BOD) of the Company;

**RESOLVE:**

**Article 1: Resolution 1**

The Board of Directors passed the Renew Credit Line Agreement with EnTie Bank, main details as below:

Credit facility	USD 3,000,000 (Three Million US Dollars)
Facility period	One year revolving from the date on which the Loan Contract is signed by both parties
Tenor for each drawdown	Not more than 180 days from drawdown date and allow to exceed the maturity date of credit line
Interest rate	All interest rate based on bank cost on the drawing date
Collateral	None

**Article 2: Resolution 2**

The Board of Directors passed the Loan Agreement with Vu Thai Investment Consultant Company Limited, main details as below:

1. Loan amount: Loan of principal amount of VND 49,000,000,000 (Forty nine billion Dong).
2. Availability period: commencing on the date of the loan agreement and ending 364 days thereafter.

3. Repayment: PHS shall repay the entire Loan at any time and any renewal is subject to agreement between the Parties.
4. Interest: 8.5% per annum. PHS must pay the accrued interest of the loan every month. The accrued interest shall be computed on the basis of a year of three hundred sixty five (365) days. If PHS repays the loans before the due date, the accrued interest is computed at 8.5% per year by the actual borrowing days from the date Vu Thai transfers the amount to PHS.
5. Implementation: The B.O.D. gives consensus to assign General Director shall be in charge of signing the Loan agreement and the Loan application

**Article 3: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As Article 03;
- Archived at BOD Secretary.



For and on behalf of B.O.D.  
CHAIRMAN

Albert Kwang-Chin Ting