

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, *December 07th*, 2018



**REPORT ON RESULTS OF TRANSACTION IN SHARES
OF AFFILIATED PERSON OF INSIDER**

To:

- State Securities Commission
- Phu Hung Securities Corporation (PHS)

1. Information on organization executing transaction:
 - 1.1 Name of organization: **VU THAI INVESTMENT CONSULTANT LIMITED (Vu Thai)**
 - 1.2 Nationality: Vietnam
 - 1.3 Business Registration Certificate No.: 0102340904
Date of issue: September 13th, 2017
Place of issue: Department of Planning and Investment Hanoi City
 - 1.4 Address: 14th Floor, Cornerstone Building, 16 Phan Chu Trinh, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi City
 - 1.5 Telephone: Fax: Email: Website:
 - 1.6 Relationship with the public company: affiliated person of insider
 - 1.7 Position in the public company at trading registration date (if any): none
2. Information about insider of the public company being affiliated person of trading organization:
 - 2.1 Name of insider: Mr. Wu, Jin-Jeng
 - 2.2 Nationality: Taiwanese
 - 2.3 Passport No.: 300740613
 - 2.4 Permanent address: Room 201, 2nd Floor, No. 10 Daxiang Street, Xitun District, Taichung City 407, Taiwan R.O.C
 - 2.5 Telephone: Fax: Email:

Appendix 12 – Circular 155/2015



- 2.6 Position in the public company at date on which organization referred to in item 1 to register the transaction: member of Board of Directors
- 2.7 Current position in the public company: member of Board of Directors
- 2.8 Relationship of organization executing transaction with insider: organization executing transaction (Vu Thai) is organization where insider (Mr. Wu, Jin-Jeng) is legal representative
- 2.9 Number, ownership proportion of shares held by the insider (if any): 0 share (0%)
3. Securities code: PHS
4. Trading account with shares mentioned item 3 above: account No. 022C015689 opened at PHS.
5. Number, ownership proportion of shares held before transaction: 5,814,000 shares (11.63%)
6. Number of shares was registered to purchase: 5,780,723 shares
7. Number of shares was purchased: 5,780,723 shares
8. Number, ownership proportion of shares held after executing transaction: 11,594,723 shares (16.56%)
9. Mode of transaction: purchasing in PHS's private placement
10. Transaction period: from December 06th, 2018 to December 06th, 2018

ON BEHALF OF VU THAI INVESTMENT
CONSULTANT LIMITED

Legal representative

