

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

Ho Chi Minh City, *December 07<sup>th</sup>*, 2018

**REPORT ON RESULTS OF TRANSACTION IN SHARES  
OF AFFILIATED PERSON OF INSIDER**

To:

- State Securities Commission
- Phu Hung Securities Corporation (PHS)

1. Information on organization executing transaction:
  - 1.1 Name of organization: **PHU HUNG FAR EAST HOLDING CORPORATION (PHFE)**
  - 1.2 Nationality: British Virgin Islands
  - 1.3 Registration of ownership No.: CA5901  
Date of issue: August 22<sup>nd</sup>, 2012  
Place of issue: Vietnam Securities Depository
  - 1.4 Contact address: Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers  
P.O.Box 3444, Road Town, Tortola, British Virgin Islands
  - 1.5 Telephone:                      Fax:                      Email:                      Website:
  - 1.6 Relationship with the public company: affiliated person of insider
  - 1.7 Position in the public company at the trading registration date: none
2. Information about insider of the public company being affiliated person of trading organization:
  - 2.1 Name of insider: Mr. Albert Kwang-Chin Ting
  - 2.2 Nationality: British
  - 2.3 Passport No.: 554043014
  - 2.4 Permanent address: 18F, No. 4, Sec 1, Chung Hsiao West Rd, Taipei, Taiwan
  - 2.5 Telephone:                      Fax:                      Email:
  - 2.6 Position in the public company at date on which organization referred in item 1 to register the transaction: Chairman of Board of Directors

**Appendix 12 – Circular 155/2015**

- 2.7 Current position in the public company: Chairman of Board of Directors
- 2.8 Relationship of organization executing transaction with insider: organization executing transaction (PHFE) is organization where insider (Mr. Albert Kwang-Chin Ting) is legal representative
- 2.9 Number, ownership proportion of shares held by the insider (if any): 0 share (0%)
3. Securities code: PHS
4. Trading account with shares mentioned item 3 above: account No. 022FCA5901 opened at PHS.
5. Number, ownership proportion of shares held before transaction: 23,000,000 shares (46.00%)
6. Number of shares was registered to purchase: 9,200,000 shares
7. Number of shares was purchased: 9,200,000 shares
8. Number, ownership proportion of shares held after executing transaction: 32,200,000 shares (46.00%)
9. Mode of transaction: purchasing in PHS's private placement
10. Transaction period: from *December 06<sup>th</sup>*, 2018 to *December 06<sup>th</sup>*, 2018

**ON BEHALF OF PHU HUNG FAR EAST  
HOLDING CORPORATION**

**Legal representative**

  
**Mr. Albert Kwang-Chin Ting**