

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

Ho Chi Minh City, *December 07<sup>th</sup>*, 2018

**REPORT ON RESULTS OF TRANSACTION IN SHARES  
OF AFFILIATED PERSON OF INSIDER**

To:                   •     **State Securities Commission**  
                          •     **Phu Hung Securities Corporation (PHS)**

1. Information on organization executing transaction:

- 1.1 Name of organization: **FRESHFIELDS CAPITAL CORPORATION (Freshfields)**
- 1.2 Nationality: Taiwan
- 1.3 Registration of ownership No.: CB3747  
Date of issue: January 05<sup>th</sup>, 2018  
Place of issue: Vietnam Securities Depository
- 1.4 Contact address: 18F., No.4, Sec. 1, Zhongxiao W. Rd., Zhongzheng Dist., Taipei City 100, Taiwan (R.O.C.)
- 1.5 Telephone:                   Fax:                   Email:                   Website:
- 1.6 Relationship with the public company: affiliated person of insider
- 1.7 Position in the public company at trading registration date (if any): none

2. Information about insider of the public company being affiliated person of trading organization:

- 2.1 Name of insider: Mr. Albert Kwang-Chin Ting
- 2.2 Nationality: British
- 2.3 Passport No.: 554043014
- 2.4 Permanent address: 18F, No. 4, Sec 1, Chung Hsiao West Rd, Taipei, Taiwan
- 2.5 Telephone:                   Fax:                   Email:
- 2.6 Position in the public company at date on which organization referred in item 1 to register the transaction: Chairman of Board of Directors

**Appendix 12 – Circular 155/2015**

- 2.7 Current position in the public company: Chairman of Board of Directors
- 2.8 Relationship of organization executing transaction with insider: organization executing transaction (Freshfields) is organization where insider (Mr. Albert Kwang-Chin Ting) is legal representative
- 2.9 Number, ownership proportion of shares held by the insider (if any): 0 share (0%)
3. Securities code: PHS
4. Trading account with shares mentioned item 3 above: account No. 022FCB3747 opened at PHS.
5. Number, ownership proportion of shares held before transaction: 4,860,000 shares (9.72%)
6. Number of shares was registered to purchase: 1,944,000 shares
7. Number of shares was purchased: 1,944,000 shares
8. Number, ownership proportion of shares held after executing transaction: 6,804,000 shares (9.72%)
9. Mode of transaction: purchasing in PHS's private placement
10. Transaction period: from *December 06<sup>th</sup>*, 2018 to *December 06<sup>th</sup>*, 2018

**ON BEHALF OF FRESHFIELDS  
CAPITAL CORPORATION  
Legal representative**

  
**Mr. Albert Kwang-Chin Ting**