

CÔNG TY CỔ PHẦN CHỨNG
KHOÁN PHÚ HƯNG
PHU HUNG SECURITIES
CORPORATION

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No.: 93/2024/PHS-PL

Thành phố Hồ Chí Minh, ngày 17 tháng 04 năm 2024
Ho Chi Minh City, day 17 month 04 year 2024

**THÔNG BÁO THAY ĐỔI NHÂN SỰ
CHANGE IN PERSONNEL**

(Mẫu dùng cho các trường hợp bổ nhiệm và/hoặc miễn nhiệm/từ nhiệm
Applied to cases of appointment and/or dismissal/resignation)

- Kính gửi/ To:
- Sở Giao dịch Chứng khoán Việt Nam/Vietnam Exchange;
 - Sở Giao Dịch Chứng Khoán Hà Nội/Hanoi Stock Exchange;
 - Sở Giao Dịch Chứng Khoán TP. Hồ Chí Minh/Ho Chi Minh Stock Exchange.

Căn cứ theo Nghị quyết Đại hội đồng cổ đông thường niên năm 2024 số 01/2024/NQ-ĐHĐCĐ ngày 17/04/2024, chúng tôi trân trọng thông báo việc thay đổi nhân sự của Công ty Cổ phần Chứng khoán Phú Hưng như sau:

Based on Resolution of Annual General Meeting of Shareholders No. 01/2024/NQ-ĐHĐCĐ dated 17 April 2024, we would like to announce the change in personnel of Phu Hung Securities Corporation as follows:

i. Miễn nhiệm thành viên Ban kiểm soát:

- Bà Kuo, Ping-Min
- Không còn đảm nhận chức vụ: Thành viên Ban kiểm soát
- Lý do miễn nhiệm: Theo đơn xin từ chức thành viên Ban kiểm soát của bà Ms. Kuo, Ping-Min vào ngày 01/03/2024.
- Ngày bắt đầu có hiệu lực: 17/04/2024

Dismissal of members of Inspection Committee:

- Ms. Kuo, Ping-Min
- Resigned position: Member of Inspection Committee
- Reason: According the resignation letter as a member of the Inspection Committee of Ms. Kuo, Ping-Min on March 01, 2024
- Effective date: 17/04/2024

ii. Bổ nhiệm mới thành viên Ban kiểm soát:

- Bà Wang, Gwan-Fang
- Chức vụ trước khi bổ nhiệm: Không
- Chức vụ được bổ nhiệm: Thành viên Ban kiểm soát
- Thời hạn bổ nhiệm: 2024-2025
- Ngày bắt đầu có hiệu lực: 17/04/2024

New appointment of member of Inspection Committee:

- Ms. Wang, Gwan-Fang
- Former position in the organization: None

- Appointed position: Member of Inspection Committee
- Term: 2024-2025
- Effective date: 17/04/2024

Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 17/04/2024 tại đường dẫn www.phs.vn, phần Quan Hệ Cổ Đông, mục Công bố thông tin.

This information was published on the company's website on 17 April 2024, as in the link www.phs.vn, tab Investor Relations, and section Information Discloses.

Tài liệu đính kèm/Attached documents:

1. Nghị quyết số 01/2024/NQ-ĐHĐCĐ ngày 17/04/2024;
Resolution No. 01/2024/NQ-ĐHĐCĐ dated 17 April 2024;
2. Bản cung cấp thông tin cá nhân trên.
Curriculum Vitae of individual stated above.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN
Tổng Giám đốc
General Director

Số/No.: 92/2024/PHS-PL

Thành phố Hồ Chí Minh, ngày 17 tháng 4 năm 2024
Ho Chi Minh City, 17 April 2024

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

- Kính gửi/ To:
- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
 - Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
 - Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG (“PHS”)**
Name of organization: **PHU HUNG SECURITIES CORPORATION (“PHS”)**

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh/21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ Contents of disclosure:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố việc miễn nhiệm, bổ nhiệm mới thành viên ban kiểm soát theo Nghị quyết Đại hội đồng cổ đông thường niên năm 2024 như sau:

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses dismissal and new appointment of Member of Inspection Committee according to Resolution of Annual General Meeting of Shareholders in 2024, as follows:

i. Miễn nhiệm thành viên Ban kiểm soát:

- Bà Kuo, Ping-Min
- Không còn đảm nhận chức vụ: Thành viên Ban kiểm soát
- Lý do miễn nhiệm: Theo đơn xin từ chức thành viên Ban kiểm soát của bà Ms. Kuo, Ping-Min vào ngày 01/03/2024.
- Ngày bắt đầu có hiệu lực: 17/04/2024

Dismissal of members of Inspection Committee:

- Ms. Kuo, Ping-Min
- Resigned position: Member of Inspection Committee
- Reason: According the resignation letter as a member of the Inspection Committee of Ms. Kuo, Ping-Min on March 01, 2024
- Effective date: 17/04/2024

ii. **Bổ nhiệm mới thành viên Ban kiểm soát:**

- Bà Wang, Gwan-Fang
- Chức vụ trước khi bổ nhiệm: Không
- Chức vụ được bổ nhiệm: Thành viên Ban kiểm soát
- Thời hạn bổ nhiệm: 2024-2025
- Ngày bắt đầu có hiệu lực: 17/04/2024

New appointment of member of Inspection Committee:

- *Ms. Wang, Gwan-Fang*
- *Former position in the organization: None*
- *Appointed position: Member of Inspection Committee*
- *Term: 2024-2025*
- *Effective date: 17/04/2024*

(Chi tiết vui lòng tham khảo tài liệu đính kèm/ *Further information, please find the attachment*)

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 17 tháng 4 năm 2024 tại đường dẫn: <https://www.phs.vn>, phần Quan Hệ Cổ Đông, mục Thông tin cổ đông.
This information was published on PHS's website on 17 April 2024 in the link at <https://www.phs.vn>, tab Investor Relations, and section Information Disclosure.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:

1. *Nghị quyết số 01/2024/NQ-ĐHĐCĐ ngày 17 tháng 4 năm 2024;
Resolution No. 01/2024/NQ-ĐHĐCĐ dated 17 April 2024;.*
2. *Bản cung cấp thông tin của cá nhân nêu trên.
Curriculum Vitae of individual stated above*

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(*Signature, full name, position, and seal*)



Ông/ Mr. CHEN CHIA KEN
Tổng Giám đốc
General Director

**RESOLUTION
THE ANNUAL GENERAL MEETING OF SHAREHOLDERS
PHU HUNG SECURITIES CORPORATION**

Pursuant to:

- Law on Enterprises No. 59/2020/QH14;
- The current Charter of Phu Hung Securities Corporation;
- The Meeting minutes of the General Meeting of Shareholder No. 01/2024/BB-ĐHĐCĐ dated April 17th, 2024 of Phu Hung Securities Corporation;
- Report on shareholder status verification and minutes of scrutiny at the Annual General Meeting of Shareholders.

The Annual General Meeting of Shareholders (“**AGMS**”) of Phu Hung Securities Corporation is held at 14h00 P.M on April 17th, 2024 at 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City, which thereby is convened in validation.

Attendees:

- Shareholders of Phu Hung Securities Corporation (PHS) as the list recorded on March 20th, 2024.
- At 14h00 P.M dated April 17th, 2024 the attending shareholders and their authorized persons are 21 shareholders; representing 147,352,371 shares; taking 98.2288% of the total voting shares of the Company.

RESOLVES TO

The AGMS of Phu Hung Securities Corporation consents to pass these following Resolutions:

RESOLUTION 1. PASS THE AUDITED FINANCIAL STATEMENT IN 2023

The AGMS consents to pass the Audited Financial Statement in 2023 as attachment.

RESOLUTION 2. PASS THE REPORT OF THE BOARD OF DIRECTORS ON THE BUSINESS PERFORMANCE IN 2023

The AGMS consents to pass the Report of the Board of Directors on the Business performance in 2023 as attachment.



RESOLUTION 3. PASS THE REPORT OF THE INSPECTION COMMITTEE ON THE BUSINESS PERFORMANCE IN 2023

The AGMS consents to pass the Report of the Inspection Committee on the Business performance in 2023 as attachment.

RESOLUTION 4. PASS THE REPORT ON CORPORATE GOVERNANCE OF PHS IN 2023

The AGMS consents to pass the Report on Corporate Governance of PHS in 2023 as attachment.

RESOLUTION 5. PASS THE DEVELOPMENT AND BUSINESS PLAN OF PHS IN 2024

The AGMS consents to pass the Development and Business plan of PHS in 2024 as attachment.

RESOLUTION 6. PASS THE FINANCIAL BUDGET IN 2024

The AGMS consents to pass the Financial Budget of the Company in 2024 as attachment.

RESOLUTION 7. PASS THE SELECTION OF AUDITOR IN 2024

The AGMS consents to pass the Selection of Auditor in 2024 as attachment.

RESOLUTION 8. PASS FOR HANDLING BAD DEBTS

The AGMS consents to pass for handling bad debts as attachment.

RESOLUTION 9. PASS THE PROFIT DISTRIBUTION PLAN IN 2023 AND THE AUTHORIZATION FOR THE BOARD OF DIRECTORS TO CHOOSE THE SUITABLE TIME AND PROCEED THE PROCEDURE TO PAY DIVIDEND FOR SHAREHOLDER

The AGMS consents to pass the Profit Distribution Plan in 2023 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders as attachment.

RESOLUTION 10. PASS THE PLAN ON PRIVATE PLACEMENT, CAPITAL UTILIZATION AND THE AUTHORIZATION FROM AGMS TO THE BOARD OF DIRECTORS TO IMPLEMENT THE PLAN ON PRIVATE PLACEMENT AND CAPITAL UTILIZATION

The AGMS consents to pass the Plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization as attachment.

The AGMS consents to pass the List of investors who register to buy share of PHS in the private issue as follows:

No	Name of investors	Number of ID card/Citizen card/ Passport or Enterprise Registration Certificate	Objects		Number of shares owned before the offering (shares)	Number of shares expected to be distributed (shares)	Ownership ratio expected after the offering (%)
			Strategic investor/ Professional investors	Foreign investor/ Economic organization with foreign investors holding more than 50% of charter capital/ Domestic investor			
1	Phu Hung Far East Holding Corporation	CA5901	Strategic investor	Foreign investor	69,004,600	23,000,000	46%
2	New Beam International INC	CB5917	Strategic investor	Foreign investor	26,176,745	15,500,000	20.84%
3	Dong Bang Development Limited	CB3748	Strategic investor	Foreign investor	7,290,486	1,800,000	4.55%
4	Cat Tinh Development Limited	CD2863	Strategic investor	Foreign investor	258,177	9,700,000	4.98%
Total						50,000,000	

RESOLUTION 11. PASS THE REVISED CHARTER OF PHS

The AGMS consents to pass the revised charter of PHS as attachment.

RESOLUTION 12. PASS THE DISMISSAL AS A MEMBER OF THE INSPECTION COMMITTEE OF MS. KUO, PING-MIN AND ELECT ADDITIONAL MEMBER OF THE INSPECTION COMMITTEE

The AGMS consents to pass the dismissal as a member of the Inspection Committee of Ms. Kuo, Ping-Min and elect additional member of the Inspection Committee for term 2024 - 2025 as follows:

- Name: Ms. Wang, Gwan-Fang
- Date of birth: 09/10/1985
- Passport No.: 360456624
- Date of issue: 06/05/2022
- Place of issue: Taiwan



RESOLUTION 13. PASS THE ADJUSTMENT OF PHS ORGANIZATION CHART

The AGMS consents to pass the adjustment of PHS organization chart

RESOLUTION 14. ORGANIZING IMPLEMENTATION

The resolution is effective from April 17th, 2024.

The Board of Directors of Phu Hung Securities Corporation is responsible for organizing, leading the Company to implement the above content of the Resolution.

**ON BEHALF OF THE ANNUAL GENERAL
MEETING OF SHAREHOLDERS
CHAIRMAN OF MEETING**



CHEN CHIA KEN

No.	Securities symbol	Name	Securities trading accounts (if available)	Position at the company (if available)	Relationship with the company/internal person	Type of documents (ID/ Passport/ Business Registration Certificate)	No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the end of the period	Percentage of shares owned at the end of the period	Time the person became an affiliated person/internal person	Time the person ceased to be an affiliated person/internal person	Reasons (when arising changes related to sections of 13 and 14)	Notes (i.e. not in possession of a NSH No. and other notes)
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
1	PHS	WANG, GWAN-FANG	-	Member of Inspection Committee	-	Passport	-	-	-	-	-	-	-	-	Appointed	-
1.01	-	WANG, PAI-FU	-	-	Father	Passport	-	-	-	-	-	-	-	-	-	-
1.02	-	YEH, MEI-YU	-	-	Mother	Passport	-	-	-	-	-	-	-	-	-	-

17. Related interest with public company, public fund (if any): None
18. Conflict interest with public company, public fund (if any): None

I hereby undertake that the information provided above is true and correct, I will take the full responsibility to the law.

APPLICANT



WANG, GWAN-FANG