

CÔNG TY CỔ PHẦN CHỨNG  
KHOÁN PHÚ HÙNG  
PHU HUNG SECURITIES  
CORPORATION

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc  
THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness

Số/No.: 174/2024/PHS-PL

Thành phố Hồ Chí Minh, ngày 27 tháng 06 năm 2024  
Ho Chi Minh City, 27 June 2024

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG**  
**EXTRAORDINARY INFORMATION DISCLOSURE**

- Kính gửi/ To:
- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
  - Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
  - Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HÙNG (“PHS”)**

Name of organization: **PHU HUNG SECURITIES CORPORATION (“PHS”)**

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh/21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt\_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố các Nghị quyết của Hội đồng Quản trị như sau:

*According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the resolutions of the Board of Directors as follows:*

- i. Nghị quyết số 02/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024, Hội đồng Quản trị thông qua việc thực hiện phương án phát hành cổ phiếu riêng lẻ tăng vốn điều lệ lên 2.000.098.190.000 đồng.

*The Resolution No. 02/87\_0524/NQ-HĐQT dated 27 June 2024, the Board of Directors passed the implement on private placement plan on increasing charter capital to VND 2,000,098,190,000.*

- ii. Nghị quyết số 03/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024, Hội đồng Quản trị thông qua các nội dung sau:

*The Resolution No. 03/87\_0524/NQ-HĐQT dated 27 June 2024, the Board of Directors passed the contents as follows:*

- Gia hạn hạn mức tín dụng và cấp hạn mức thấu chi với Ngân hàng TMCP Phương Đông, chi nhánh TP. Hồ Chí Minh;  
*Renewing credit line and new overdraft line with Orient Commercial Joint Stock Bank – Ho Chi Minh City branch;*
- Gia hạn hạn mức tín dụng với Ngân hàng Cathay United – OBU;  
*Renewing credit line with Cathay United bank – OBU;*
- Gia hạn hạn mức tín dụng với Ngân hàng First Commercial Bank – chi nhánh Offshore.  
*Renewing credit line with First Commercial bank – Offshore Banking Unit.*

iii. Nghị quyết số 04/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024, Hội đồng Quản trị thông qua việc đính chính nội dung tiếng Việt tại điều 10 của Nghị quyết số 01/86\_0424/NQ-HĐQT và điều 6 của Nghị quyết số 04/86\_0424/NQ-HĐQT đã ban hành tháng 5/2024

*The Resolution No. 04/87\_0524/NQ-HĐQT dated 27 June 2024, the Board of Directors passed the correction of the Vietnamese contents in Article 10 of Resolution No. 01/86\_0424/NQ-HĐQT and Article 6 of Resolution No. 04/86\_0424/NQ-HĐQT issued in May 2024*

(Chi tiết vui lòng tham khảo tài liệu đính kèm/*Further information, please find the attachment*)

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 27 tháng 06 năm 2024 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

*This information was published on PHS's website on 27 June 2024 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

**Tài liệu đính kèm:**

1. Nghị quyết số 02/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024;  
*Resolution No. 02/87\_0524/NQ-HĐQT dated 27 June 2024;*
2. Nghị quyết số 03/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024;  
*Resolution No. 03/87\_0524/NQ-HĐQT dated 27 June 2024;*
3. Nghị quyết số 04/87\_0524/NQ-HĐQT ngày 27 tháng 06 năm 2024.  
*Resolution No. 04/87\_0524/NQ-HĐQT dated 27 June 2024.*

**ĐẠI DIỆN TỔ CHỨC** *ch*  
**ORGANIZATION REPRESENTATIVE**  
(Ký, ghi rõ họ tên, chức vụ, đóng dấu)  
(Signature, full name, position, and seal)



**Ông/ Mr. CHEN CHIA KEN**  
**Tổng Giám đốc**

Ref. No.: 02/87\_0524/NQ-HĐQT

Ho Chi Minh City, June 27<sup>th</sup>, 2024

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2022 – 2025)**

- Pursuant to Law on Enterprises No. 59/2020/QH14;
- Pursuant to Law on Securities No. 54/2019/QH14;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/87\_0524/BB-HĐQT dated June 27<sup>th</sup>, 2024 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 1**

The Board of Directors passed the implement on private placement plan on increasing charter capital to VND 2,000,098,190,000, main details as below:

1. To approve all documents of application files of private offering
  - Private share offering registration
  - Phu Hung Securities Corporation's (PHS) commitment that PHS is not the parent company of the investor and that both companies do not have the same parent company; PHS's commitment to not violate regulations on cross-ownership as stipulated in the Law on Enterprises; PHS's commitment to fully supplement the bank statement of the investors;
  - The investor's commitment that PHS is not the parent company of the investor and that both companies do not have the same parent company; the investor will buy all PHS subscribed shares; the investor and related persons do not hold more than 5% of the charter capital of other securities companies;
  - Audited financial statement 2022, 2023 and financial statement for the first quarter of 2024 reviewed;
  - And other documents under the regulations
2. To authorise General Director to implement the private placement and capital utilization

**Article 2: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at Company Secretary;
- Archived at Company Secretariat.

***For and on behalf of B.O.D.***

**Chairman**



**Albert Kwang-Chin Ting**

Ref. No.: 03/87\_0524/NQ-HĐQT

Ho Chi Minh City, June 27<sup>th</sup>, 2024

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2022 – 2025)**

- Pursuant to Law on Enterprises No. 59/2020/QH14;
- Pursuant to Law on Securities No. 54/2019/QH14;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/87\_0524/BB-HĐQT dated June 27<sup>th</sup>, 2024 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 2**

The Board of Directors passed the renewing credit line and new overdraft line with Orient Commercial Joint Stock Bank – Ho Chi Minh City branch, main details as below:

<b>1. Credit line</b>	
Amount	<b>VND500,000,000,000</b> (Five hundred billion Vietnam dong)
Period	One year
<b>2. Overdraft line</b>	
Amount	<b>VND100,000,000,000</b> (One hundred billion Vietnam dong) The overdraft limit and credit line cannot exist VND500,000,000,000
Period	One year

**Article 2: Resolution 3**

The Board of Directors passed the renewing credit line with Cathay United bank – OBU, main details as below:

Credit facility	<b>USD10,000,000</b> (Ten million US dollars)
Facility period	One year

**Article 3: Resolution 4**

The Board of Directors passed the renewing credit line with First Commercial bank – Offshore Banking Unit, main details as below:

Credit facility	<b>USD5,000,000</b> (Five million US dollars)
Facility period	One year

**Article 4: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 4;
- Archived at Company Secretary;
- Archived at Company Secretariat.



**For and on behalf of B.O.D.**

**Chairman**

**Albert Kwang-Chin Ting**

Ref. No.: 04/87\_0524/NQ-HĐQT

Ho Chi Minh City, June 27<sup>th</sup>, 2024

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2022 – 2025)**

- Pursuant to Law on Enterprises No. 59/2020/QH14;
- Pursuant to Law on Securities No. 54/2019/QH14;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/87\_0524/BB-HĐQT dated June 27<sup>th</sup>, 2024 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 5**

The Board of Directors passed the correction of the Vietnamese contents in Article 10 of Resolution No. 01/86\_0424/NQ-HĐQT and Article 6 of Resolution No. 04/86\_0424/NQ-HĐQT issued in May 2024, main details as below:

Old contents	New contents
Hạn mức thấu chi: 200.000.000.000 VND (Hai trăm tỷ Việt Nam đồng)	Hạn mức tín dụng: 200.000.000.000 VND (Hai trăm tỷ Việt Nam đồng)

**Article 2: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at Company Secretary;
- Archived at Company Secretariat.

**For and on behalf of B.O.D.**  
**Chairman**  
  
**Albert Kwang-Chin Ting**