

**PHU HUNG SECURITIES  
CORPORATION**

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No: 199/2024/PHS-PL

Re: *Disclosing the Interim Corporate  
Governance Report for the year of 2024*

Ho Chi Minh City, 17 July 2024

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES  
COMMISSION AND THE STOCK EXCHANGES**

- To:**
- STATE SECURITIES COMMISSION;
  - VIETNAM EXCHANGE;
  - HA NOI STOCK EXCHANGE.

Name: **PHU HUNG SECURITIES CORPORATION (“PHS”)**

Headquarters: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City

Phone: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of information disclosure:  24 hours  72 hours  Unusual  Periodic

**Information of disclosed content:**

According to Circular 96/2020/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses the Interim Corporate Governance Report for the year of 2024 (for further information, please find the attached).

The information is disclosed on PHS’s website at <https://www.phs.vn>, tab About PHS, and section Investor Relation.

PHS undertakes the information disclosure above is genuine and takes entire responsibility to the law for information of disclosed content.

**Attachments:**

The Interim Corporate Governance Report for the year of 2024

**Recipient:**

- As above;
- Archive at Company Secretariat;
- Archive at Legal Division.

**PERSON TO IMPLEMENT  
DISCLOSURE OF INFORMATION**



**MR. CHEN CHIA KEN**

**PHU HUNG SECURITIES  
CORPORATION**

No.: 01/2024/BC-PHS

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom – Happiness**

Ho Chi Minh City, day 17 month 7 year 2024

**REPORT ON CORPORATE GOVERNANCE**

**In the first 6 months of Year 2024**

**To:**

- **STATE SECURITIES COMMISSION;**
- **VIETNAM EXCHANGE;**
- **HANOI STOCK EXCHANGE;**
- **HO CHI MINH STOCK EXCHANGE.**

- Name of company: **PHU HUNG SECURITIES CORPORATION (PHS)**
- Address of head office: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City
- Telephone: (84-28) 54135479 Fax: (84-28) 54135472
- Charter capital: VND 1,500,098,190,000
- Stock symbol: PHS
- Governance model: General Meeting of Shareholders, Board of Directors, Inspection Committee and General Director
- The implementation of internal audit (Implemented/ Not yet implemented): Implemented.

**I. Activities of the General Meeting of Shareholders**

Information on meetings and Resolutions of the General Meeting of Shareholders (including the Resolutions of the General Meeting of Shareholders approved in the form of written comments):



No.	Resolution No.	Date	Content
1.	01/2024/NQ-DHĐCĐ	17/04/2024	<ol style="list-style-type: none"> <li>1. Pass the Audited Financial Statement in 2023;</li> <li>2. Pass the Report of the Board of Directors on the Business performance in 2023;</li> <li>3. Pass the Report of the Inspection Committee on the Business performance in 2023;</li> <li>4. Pass the Report on Corporate governance of PHS in 2023;</li> <li>5. Pass the Development and Business plan of PHS in 2024;</li> <li>6. Pass the Financial budget in 2024;</li> <li>7. Pass the Selection of Auditor in 2024;</li> <li>8. Pass the Plan for handling bad debts;</li> <li>9. Pass the Profit Distribution Plan in 2023 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders;</li> <li>10. Pass the Plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization;</li> <li>11. Pass the revised Charter of PHS;</li> <li>12. Pass the dismissal as a member of the Inspection Committee of Ms. Kuo, Ping-Min and elect additional member of the Inspection Committee.</li> <li>13. Pass the adjustment of PHS organization chart;</li> </ol>

**II. Board of Directors (In the first 6 months of Year 2024):**

**1. Information about the members of the Board of Directors (BOD):**

No.	Board of Directors' members	Position (Independent members of the BOD, Non-executive members of BOD)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment	Date of dismissal
1.	Mr. Albert Kwang-Chin Ting	Chairman of the Board of Directors	06/04/2016	-
2.	Mr. Nguyen Doan Hung	Member	06/04/2016	-
3.	Mr. Wu, Jin-Jeng	Member	06/04/2016	-
4.	Mr. Chen Chia Ken	Member	06/04/2016	-
5.	Ms. Liu, Hsiu-Mei	Independent member	17/04/2019	-

**2. Meetings of the Board of Directors:**

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1.	Mr. Albert Kwang-Chin Ting	05	100%	-
2.	Mr. Nguyen Doan Hung	05	100%	-
3.	Mr. Wu, Jin-Jeng	05	100%	-
4.	Mr. Chen Chia Ken	05	100%	-
5.	Ms. Liu, Hsiu-Mei	05	100%	-

**3. Supervising the Board of Management by the Board of Directors:**

In the first 6 months of Year 2024, Board of Directors promptly conducted the assignment from General Meeting of Shareholders in the spirit of in accordance to laws and internal regulations of PHS. The supervision activities of Board of Directors over Board of Management is prescribed in the monthly report of Board of Management and the Meeting Minutes of Board of Directors.

**4. Activities of the Board of Directors' subcommittees (If any):**

PHS does not establish the Board of Directors' subcommittees so there is not any activities to report.

**5. Resolutions of the Board of Directors (In the first 6 months of Year 2024):**

No.	Resolution No.	Date	Content	Approval rate
1.	01/83_0124/NQ-HĐQT	24/01/2024	<ol style="list-style-type: none"><li>1. Pass the Provision for Margin debt on Dec 31<sup>st</sup>, 2023;</li><li>2. Pass the Provision for Advances to customers for securities trading activities on Dec 31<sup>st</sup>, 2023;</li><li>3. Pass the Risk Management Policy 2024.</li></ol>	100%
2.	01/84_0224/NQ-HĐQT	28/02/2024	<ol style="list-style-type: none"><li>1. Pass the passed the Record Date, the Meeting Date of the Annual General Meeting of Shareholders;</li><li>2. Pass the increasing and renewing credit line with KGI Bank.</li></ol>	100%
3.	01/85_0324/NQ-HĐQT	22/03/2024	<ol style="list-style-type: none"><li>1. Pass the agenda and documents using in the 2024 Annual General Meeting Of Shareholders dated April 17<sup>th</sup>, 2024;</li><li>2. Pass the Audited Financial Statement of 2023;</li><li>3. Pass the report of the Board of Directors on the business performance in 2023;</li><li>4. Pass the report on Corporate Governance of PHS in 2023;</li><li>5. Pass the development and business plan of PHS in 2024;</li><li>6. Pass the financial budget in 2024</li></ol>	100%

			<ol style="list-style-type: none"> <li>7. Pass the plan for handling bad debts;</li> <li>8. Pass the profit distribution plan in 2023 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders;</li> <li>9. Pass the plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization;</li> <li>10. Pass the amendment of the company's Charter;</li> <li>11. Pass the adjustment PHS organization chart;</li> <li>12. Pass the power of attorney for Mr. Chen Chia Ken – BOD member cum General Director to be the Meeting Chairman and sign the documents of the AGMS dated April 17<sup>th</sup>, 2024;</li> <li>13. Pass the audited financial safety ratio report on December 31<sup>st</sup>, 2023;</li> <li>14. Pass the renewing credit line with Bank for Investment and Development of Vietnam JSC, Nam Ky Khoi Nghia branch;</li> <li>15. Pass the renewing and increasing credit line with Taishin International Bank Co., Ltd;</li> <li>16. Pass the conditions for offering covered warrants for the issuance in 2024.</li> </ol>	
4.	01/86_0424/NQ-HĐQT	30/05/2024	<ol style="list-style-type: none"> <li>1. Pass provision for Margin debt on March 31<sup>st</sup>, 2024;</li> <li>2. Pass the provision for SIC debt on March 31<sup>st</sup>, 2024;</li> <li>3. Pass the remuneration of each member of Board of Directors and Inspection Committee in year 2024;</li> <li>4. Pass the adjustments and additions of Internal Regulations on Anti-Money Laundering;</li> </ol>	100%

			<ol style="list-style-type: none"> <li>5. Pass the renewing credit line and increasing pre-settlement risk line with Cathay United Bank – Ho Chi Minh City branch;</li> <li>6. Pass the renewing credit line and applying new pre-settlement risk line with E.Sun Commercial Bank – Dong Nai branch;</li> <li>7. Pass the renewing credit line and pre-settlement risk line with Taipei Fubon Commercial Bank Co., Ltd., – HCMC branch;</li> <li>8. Pass the renewing credit line with Mega International Commercial Bank Co., Ltd., – HCMC branch;</li> <li>9. Pass the renewing credit line with Shanghai Commercial &amp; Savings Bank, Ltd., – Dong Nai branch;</li> <li>10. Pass the renewing credit line with Vietnam Joint Stock Commercial Bank for Industry and Trade – branch 4 HCMC;</li> <li>11. Pass the renewing credit line with Huanan Commercial Bank Ltd., – Ho Chi Minh City branch;</li> <li>12. Pass the renewing credit line with Chang Hwa Bank – HSI-Sung branch;</li> <li>13. Pass the renewing credit line with E.Sun Commercial Bank – Offshore Banking Unit;</li> <li>14. Pass the renewing credit line with Taipei Fubon Commercial Bank Co., Ltd., – Offshore Banking branch;</li> <li>15. Pass the renewing credit line with Mega International Commercial Bank – Offshore Banking branch;</li> <li>16. Pass the renewing credit line with Shanghai Commercial and Savings Bank – Hong Kong branch;</li> <li>17. Pass the date to finalize the list of shareholders to pay cash dividend in 2023;</li> <li>18. Pass the statutes on information disclosure;</li> </ol>	
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			19. Pass the adjustments and supplements of regulation on Internal Audit and the procedure for implementing Internal Audit activities.	
5.	01/87_0524/NQ-HĐQT	27/06/2024	<ol style="list-style-type: none"> <li>1. To pass the implement on private placement plan on increasing charter capital to VND 2,000,098,190,000</li> <li>2. To pass the renewing credit line and new overdraft line with Orient Commercial Joint Stock Bank – Ho Chi Minh City branch</li> <li>3. To pass the renewing credit line with Cathay United bank – OBU</li> <li>4. To pass the renewing credit line with First Commercial bank – Offshore Banking Unit</li> <li>5. To pass the correction of the Vietnamese contents in Article 10 of Resolution No. 01/86_0424/NQ-HĐQT and Article 6 of Resolution No. 04/86_0424/NQ-HĐQT issued in May 2024</li> <li>6. To pass the annual internal audit plan for the second half year of 2024</li> </ol>	100%

### III. Inspection Committee (In the first 6 months of Year 2024):

#### 1. Information about Members of Inspection Committee:

No.	Members of Inspection Committee	Position	The date becoming/ceasing to be the member of the Inspection Committee	Qualification
1.	Mr. Liew Sep Siang	Head of Inspection Committee	The date becoming to be the member of the Inspection Committee: 17/04/2019	Master of Business Administration
2.	Mr. Chiu Hsien Chih	Member	The date becoming to be the member of the Inspection Committee: 06/04/2016	Master of Business Administration



3.	Ms. Kuo, Ping-Min	Member	The date becoming to be the member of the Inspection Committee: 31/03/2022 The date ceasing to be the member of the Inspection Committee: 17/4/2024	Bachelor of Commerce
4.	Ms. Wang, Gwan-Fang	Member	The date becoming to be the member of the Inspection Committee: 17/04/2024	Bachelor of Business

### 2. Meetings of Inspection Committee:

No.	Members of Inspection Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1.	Mr. Liew Sep Siang	01	100%	100%	-
2.	Mr. Chiu Hsien Chih	01	100%	100%	-
3.	Ms. Kuo, Ping-Min	01	100%	100%	The date ceasing to be the member of the Inspection Committee: 17/4/2024
4.	Ms. Wang, Gwan-Fang	0	100%	100%	The date becoming to be the member of the Inspection Committee: 17/04/2024

### 3. Supervising Board of Directors, Board of Management and shareholders by Inspection Committee:

In the first 6 months of year 2024, Inspection Committee operated in the spirit of objection, independence, in accordance with the laws and internal regulations of PHS. The operation of Inspection Committee includes the inspection, observation and assessment on the administration and governance of Board of Directors, Board of Management, as well as the operation of PHS' division.

### 4. The coordination among the Inspection Committee, the Board of Directors, Board of Management and other managers:

During operation, the Inspection Committee cooperated with Board of Directors, Board of Management and other divisions, department to maintain the management and the business activities smoothly, effectively and in accordance to regulations of laws and the internal regulation of PHS.

**5. Other activities of the Inspection Committee (if any):**

There is not any other activities of the Inspection Committee to report.

**IV. Board of Management**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management
1.	Mr. Chen Chia Ken	14/10/1971	Master of Business Administration	Date of appointment: 06/04/2016
2.	Ms. Pham Thi Thu Nhan	13/06/1984	Master of Business Administration	Date of appointment: 06/04/2016

**V. Chief Accountant**

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Do Thi Ai Vy	16/09/1986	Bachelor	Date of appointment: 07/12/2018

**VI. Training courses on corporate governance:**

Members of the Board of Directors, Inspection Committee, Board of Management, other managers and the Company Secretary are always fully updated on training issues on corporate governance.

**VII. The list of affiliated persons of the public company (In the first 6 months of year 2024) and transactions of affiliated persons of the Company.**

**1. The list of affiliated persons of the Company:**

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			06/04/2016	-	-	Internal persons
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			06/04/2016	-	-	Internal persons
3.	Mr. Wu, Jin-Jeng		Member of Board of Directors			06/04/2016	-	-	Internal persons

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
4.	Mr. Chen Chia Ken		Member of Board of Directors cum General Director cum the second legal representative			06/04/2016	-	-	Internal persons
5.	Ms. Liu, Hsiu-Mei	-	Independent member of Board of Directors			17/04/2019	-	-	Internal persons
6.	Mr. Liew Sep Siang		Head of Inspection Committee			17/04/2019	-	-	Internal persons

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
7.	Mr. Chiu Hsien Chih		Member of Inspection Committee			06/04/2016	-	-	Internal persons
8.	Ms. Kuo, Ping-Min		Member of Inspection Committee			31/03/2022	17/04/2024	-	Internal persons
9.	Ms. Wang, Gwan-Fang		Member of Inspection Committee			17/04/2024	-	-	Internal persons

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
10.	Ms. Pham Thi Thu Nhan		Deputy General Director			06/04/2016	-	-	Internal persons
11.	Ms. Do Thi Ai Vy		Chief Accountant			07/12/2018	-	-	Internal persons
12.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum Company Secretary			07/05/2021	-	-	Internal persons
13.	Ms. Nguyen Thi Thu Anh		Deputy Maanager of			21/05/2022	-	-	Internal persons

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
			Risk Management Division						
14.	Ms. Bach Thi Phuong Thao		Chief of Internal Audit			15/07/2022			Internal persons
15.	Mr. Huang Kai Sheng		Deputy Director of Finance Division			15/07/2022	-	-	Internal persons
16.	Phu Hung Far East Holding Corporation		-	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet	-	-	-	Major shareholder

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
					Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands				
17.	An Think Development Limited		-	1873621 – 12/05/2015 – British Virgin Islands	Coastal Building, Wickhams Cay II, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder
18.	New Beam International INC		-	1909904 – 24/03/2016 – British Virgin Islands	Ground Floor, Coastal Building Wickhams Cay II, P O Box 3169, Road Town, Tortola,	-	-	-	Major shareholder



No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation - ship with the Company
					British Virgin Islands				

**Note:** NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.**

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. approved by GMS/ BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-

**Note:** NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.**

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-	-

**4. Transactions between the Company and other objects:**

4.1. Transactions between the Company and the company that its members of Board of Director, the Inspection Committee, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Inspection Committee, General Director and other managers as a member of Board of Directors, Board of Directors, or CEOs: None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Inspection Committee, General Director and other managers: None

**VIII. Share transactions of internal persons and their affiliated persons (In the first 6 months of year 2024).**

**1. The list of internal persons and their affiliated persons of the Company.**

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			-	-	-

1.1	Father: Lawrence S. Ting	-	Passed away	-	-	-	-	-
1.2	Mother: Ting Fei Tsong- Ching	-	-			-	-	-
1.3	Wife: Wong, I-Ting	-	-			-	-	-
1.4	Sister: Ting, Kwang I	-	-			-	-	-
1.5	Sister: Ting, Kwang-Yu	-	-			-	-	-

1.6	Brother: Ting, Kwang Hung		-			-	-	-
1.7	Phu Hung Far East Holding Corporation		Mr. Albert Kwang-Chin Ting is member of Board of Directors	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	69,004,000	46.00%	-
1.8	Freshfields Capital Corporation		Mr. Albert Kwang-Chin Ting is Chairman of Board of Directors	80690793- 31/03/2004- Đài Loan	18F., No.4, Sec. 1, Zhongxiao W. Rd., Zhongzheng Dist., Taipei City 100, Taiwan (R.O.C.)	1,290,486	0.86%	-
1.9	Phu Hung Fund Management Joint Stock Company		Mr. Albert Kwang-Chin Ting is Chairman of	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan	-	-	-

			Board of Directors		Phu Ward, District 7, HCMC.			
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			-	-	-
2.1	Father: Nguyen Van Tran	-	Passed away	-	-	-	-	-
2.2	Mother: Pham Thi Bac	-	Retired			-	-	
2.3	Daughter: Nguyen Phuong Anh	-	-			-	-	-
2.4	Son: Nguyen Hung Nam	-	-			-	-	-
2.5	Sister: Nguyen Nhi Ha	-	-			-	-	-

2.6	Sister: Nguyen Thai Binh	-	-			-	-	-
2.7	Sister: Nguyen Hong Nga	-	-			-	-	-
2.8	Sister: Nguyen Hong Viet	-	-			-	-	-
2.9	Brother: Nguyen Hung Manh		-			258,177	0.17%	-
2.10	Masan Group Corporation	-	Mr. Nguyen Doan Hung is member of Board of Directors			-	-	-
3.	<b>Mr. Wu, Jin-Jeng</b>		<b>Member of Board of Directors</b>			-	-	-
3.1	Vu Thai Investment		Mr. Wu, Jin-Jeng is	0102340904 – 13/09/2017 -	Floor 14, Cornerstone	7,473,415	4.98%	-

	Consultant Limited		member of Board of Directors	Department of Planning and Investment	Building, 16 Phan Chu Trinh, Hoan Kiem District, Hanoi City			
3.2	Phu Hung Fund Management Joint Stock Company		Mr. Wu, Jin-Jeng is member of Board of Directors	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.	-	-	-
4.	<b>Mr. Chen Chia Ken</b>		<b>Member of Board of Directors cum General Director cum the second legal representative</b>			-	-	-
4.1	Father: Chen Yi Fan	-	Passed away	-	-	-	-	-
4.2	Mother: Tsai Pi Chin	-	-			-	-	-

4.3	Wife: Wang Tzu	-	-			-	-	-
4.4	Child: Chen Hsiu Te	-	-			-	-	-
4.5	Child: Chen Hsiu Yi	-	-			-	-	-
5.	<b>Ms. Liu Hsiu-Mei</b>	-	<b>Independent member of Board of Directors</b>			-	-	-
5.1	Father: Liu, Guo - Cheng	-	-			-	-	-



5.2	Mother: Chen, Yue-E	-	-			-	-	-
5.3	Spouse: Chiu, Yi-Hung	-	-			-	-	-
5.4	Daughter: Chiu, Chen-Yu	-	-	-		-	-	-
5.5	Son: Chiu, Chen-Kai	-	-	-		-	-	-
5.6	Son: Chiu, Chen-En	-	-	-		-	-	-
6.	<b>Mr. Liew Sep Siang</b>		<b>Head of Inspection Committee</b>			-	-	-
6.1	Father: Liew Ee Khong	-	-			-	-	-
6.2	Mother: Lee Yeak Leak	-	-			-	-	-

6.3	Brother: Liew Sep Leng	-	-			-	-	-
6.4	Sister: Liew Chin Hui	-	-			-	-	-
6.5	Brother: Liew Sep Yong	-	-			-	-	-
6.6	Wife: Chu Zi Yan	-	-			-	-	-
6.7	Phu Hung Assurance Corporation		Mr. Liew Sep Siang is Deputy General Director	41/GP-KDBH 12/12/2006 - Ministry of Finance	Floor 4, CR3-04A, 109 Ton Dat Tien, Tan Phu Ward, District 7, HCMC.	3,136,847	2.09%	-
6.8	Phu Hung Fund Management Joint Stock Company		Mr. Liew Sep Siang is Head of Inspection Committee	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.			

7.	<b>Mr. Chiu Hsien Chih</b>		<b>Member of Inspection Committee</b>			-	-	-
7.1	Father: Chiu Chuei Chun	-	Passed away	-	-	-	-	-
7.2	Mother: Syu Yue Ye	-	Passed away	-	-	-	-	-
7.3	Wife: Lin Ching Yi	-	-			-	-	-
7.4	Sister: Chiu Li Chiu	-	-			-	-	-
7.5	Sister: Chiu Li Ying	-	-			-	-	-

7.6	Sister: Chiu Hsueh O	-	-			-	-	-
8.	<b>Ms. Kuo, Ping-Min</b>		<b>Member of Inspection Committee</b>			-	-	The date ceasing to be the member of the Inspection Committee: 17/4/2024
8.1	Mother: Ding Zhi Fang	-	-			-	-	
8.2	Phu Hung Fund Management Joint Stock Company		Ms. Kuo, Ping-Min is Deputy General Director	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.			

9.	<b>Ms. Wang, Gwan-Fang</b>		Thành viên Ban Kiểm soát			-	-	-
9.1	Ba: Wang, Pai-Fu	-	-		Taiwan	-	-	-
9.2	Mẹ: Yeh, Mei-Yu	-	-		Taiwan	-	-	-
9.3	The Sang Media Company Limited		Ms. Wang, Gwan-Fang is Director	0311068000 - 15/08/2011 – Department of Planning and Investment of HCMC	Floor 22, Phu My Hung Tower, No. 08 Hoang Van Thai, Tan Phu Ward, Distrci 7, HCMC	-	-	-
9.4	Hung Truyen Development Company Limited	-	Ms. Wang, Gwan-Fang is Director	0312894874 – 15/08/2014 – Department of Planning and Investment of HCMC	Floor 3, 109 Ton Dat Tien, Tan Phu Ward, Distrci 7, HCMC	-	-	-
10.	<b>Ms. Pham Thi Thu Nhan</b>		<b>Deputy General Director</b>			-	-	-

10.1	Father: Pham Ngoc Ho	-	-			-	-	-
10.2	Mother: Pham Thi Hon	-	-			-	-	-
10.3	Child: Nguyen Pham An Nhien	-	-	Children		-	-	-
10.4	Sibling: Pham Ngoc Tinh		-			-	-	-
10.5	Sibling: Pham Thi Cam Xuyen		-			-	-	-
11.	<b>Ms. Do Thi Ai Vy</b>		<b>Chief Accountant</b>			-	-	-

11.1	Father: Do Gia Van	-	-			-	-	-
11.2	Mother: Nguyen Thi Tuyet	-	-			-	-	-
11.3	Husband: Pham Duc Vu	-	-			-	-	-
11.4	Child: Pham Gia Khiem	-	-	Children		-	-	-

11.5	Child:Pham Bao Chau	-	-	Children		-	-	-
11.6	Sister: Do Thi Ly Ly	-	-			-	-	-
11.7	Sister: Do Thi Uyen My	-	-			-	-	-
11.8	Brother: Do Gia Bao	-	-			-	-	-
12.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum			-	-	-



			<b>Company Secretary</b>					
12.1	Father: Chieu Duc Nghia	-	-			-	-	-
12.2	Mother: Luong Ngoc Mai	-	-			-	-	-
12.3	Brother: Chieu Duc Tam	-	-			-	-	-
12.4	Sister: Chieu Boi Thy	-	-			-	-	-
13.	<b>Ms. Nguyen Thi Thu Anh</b>		<b>Deputy Manager of Risk</b>			<b>107</b>	<b>0.000071%</b>	

			Managemnt Division					
13.1	Father: Nguyen Ngoc Dung		-			-	-	-
13.2	Mother: Ho Thi Thu Van		-			-	-	-
13.3	Brother: Nguyen Ngoc Anh		-			-	-	-
13.4	Brother: Nguyen Tuan Anh		-			-	-	-
14.	<b>Ms. Bach Thi Phuong Thao</b>		<b>Chief of Internal Audit</b>			-	-	-

14.1	Father: Bach Quang Vinh	-	-			-	-	-
14.2	Mother: Dinh Thi Ngoc Hanh	-	-			-	-	-
14.3	Farther in law: Nguyen Van Tinh	-	-			-	-	-
14.4	Mother in law: Nguyen Thi Phuc	-	-			-	-	-

14.5	Husband: Nguyen Van Thuan	-	-			-	-	-
14.6	Younger brother: Bach Chi Cuong	-	-			-	-	-
14.7	Younger brother: Lam Ngoc Tuong Van	-	-			-	-	-
15.8	Son: Nguyen Phuc Hoang	-	-	-		-	-	-
15.	<b>Mr. Huang Kai Sheng</b>		<b>Deputy Director of</b>			-	-	-

			Finance Division					
15.1	Father: Huang, Jeng-Her	-	-			-	-	-
15.2	Mother: Wu, Chen- Chen	-	-			-	-	-
15.3	Wife: Chiang, Chu- Yun	-	-			-	-	-
15.4	Son: Huang, Ping-Chieh	-	-			-	-	-

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**2. Transactions of internal persons and affiliated persons with shares of the company.**

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
-	-	-	-	-	-	-	-

**IX. Other significant issues:** None.

**Recipients:**

- As above;
- Archived: Company Secretariat.

**ON BEHALF OF THE BOARD OF DIRECTORS  
CHAIRMAN**

(Sign, full name and seal)



**ALBERT KWANG – CHIN TING**

*Handwritten signature in blue ink.*