

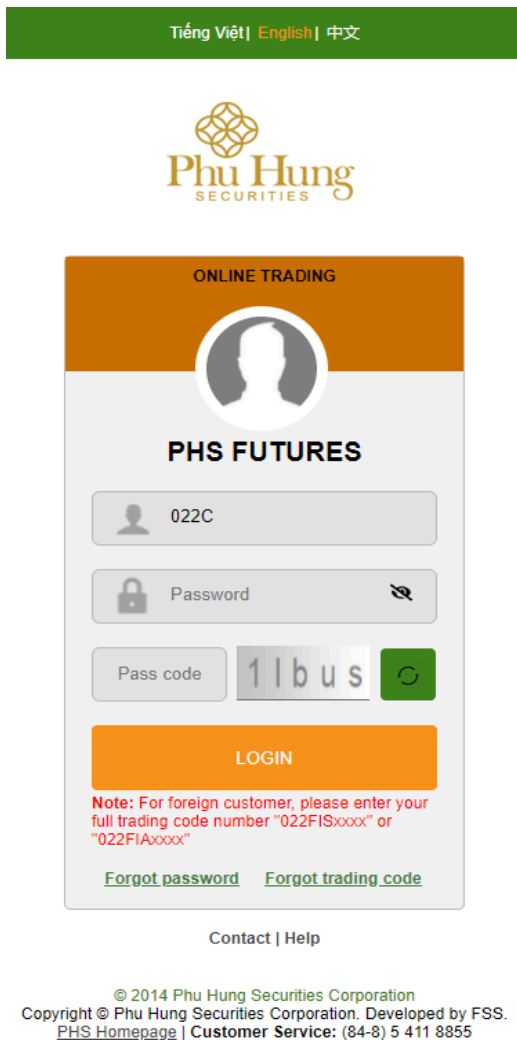
Link page: [Phu Hung Securities Corporation \(phs.vn\)](http://phs.vn)

INSTRUCTION FOR USE DERIVATIVES ONLINE TRADING


I. LOG IN 1. Log in system

Access to website of derivative online trading: <http://futures.phs.vn>

Enter information:




The screenshot shows the login page for PHS Futures. At the top, there is a green navigation bar with language options: "Tiếng Việt | English | 中文". Below this is the PHS Securities logo. The main login area is a white box with an orange header "ONLINE TRADING" and a user profile icon. The title "PHS FUTURES" is displayed. There are three input fields: "022C" (with a person icon), "Password" (with a lock icon and a visibility toggle), and "Pass code" (with a refresh icon and the value "11bus"). A large orange "LOGIN" button is positioned below the fields. A red note states: "Note: For foreign customer, please enter your full trading code number '022FISxxxx' or '022FIAxxxx'". At the bottom of the login box are links for "Forgot password" and "Forgot trading code". Below the login box is a "Contact | Help" link. The footer contains copyright information: "© 2014 Phu Hung Securities Corporation. Copyright © Phu Hung Securities Corporation. Developed by FSS. PHS Homepage | Customer Service: (84-8) 5 411 8855".

- User name: Account 022xxxxxxx of customer
- Password: PHS login password – Web trading (use both underlying and derivatives)
- Click  icon to show the password

□ Pass code: Enter pass code is showed in right of **Pass code** box



If customer want to change pass code, press to icon  for having the new pass code

□ Click button  to login for system


2. Forgot password

Press to **forgot password** to reset log in password and trading password

A screenshot of the PHS FUTURES login interface. At the top, it says 'ONLINE TRADING' above a user profile icon. Below the icon, the text 'PHS FUTURES' is displayed. There are three input fields: the first contains '022C' with a person icon; the second is labeled 'Password' with a lock icon and a visibility toggle; the third is labeled 'Pass code' and contains 'u7rxm' with a green refresh icon. Below these fields is a large orange 'LOGIN' button. At the bottom, there is a red note: 'Note: For foreign customer, please enter your full trading code number "022FISxxxx" or "022FIAxxxx"'. Below the note are two links: 'Forgot password' (highlighted with a red box) and 'Forgot trading code'.

Fill the corect information/the last changed information which registered with PHS

FORGOT PASSWORD




Full name without tones

Trading code

ID/Passport number

Email

Pass code 

CONFIRM

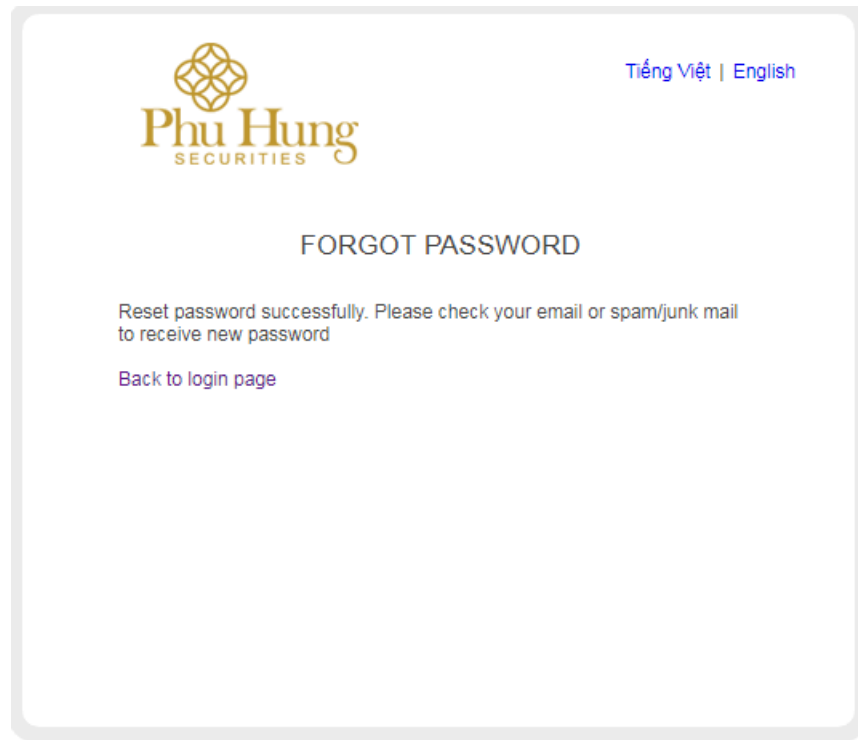
© 2014 Phu Hung Securities Corporation
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PHS Homepage | Customer service: (84-8) 5 411 8855

- Full name without tones: fill name without tones (example: Nguyễn Văn A will fill in this box is Nguyen Van A)
- Trading code: fill securities trading number 022Cxxxxxx for domestic customer or trading code number of foreigner customer which is started by 022Fxxxxxx
- ID/Passport number: fill ID number or ID passport number registered/last changed with PHS

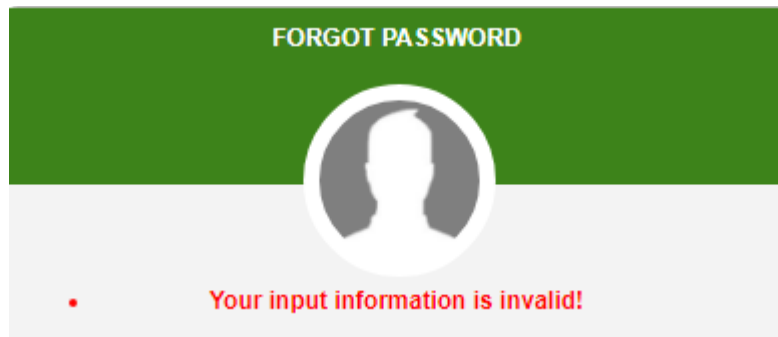
□ Email: fill email address registered/last changed with

PHS Press to **Confirm** after full fill information

- If information right, the system shows notification, at the same time sending the email/SMS about log in password and trading password to email/SMS which customer register with PHS



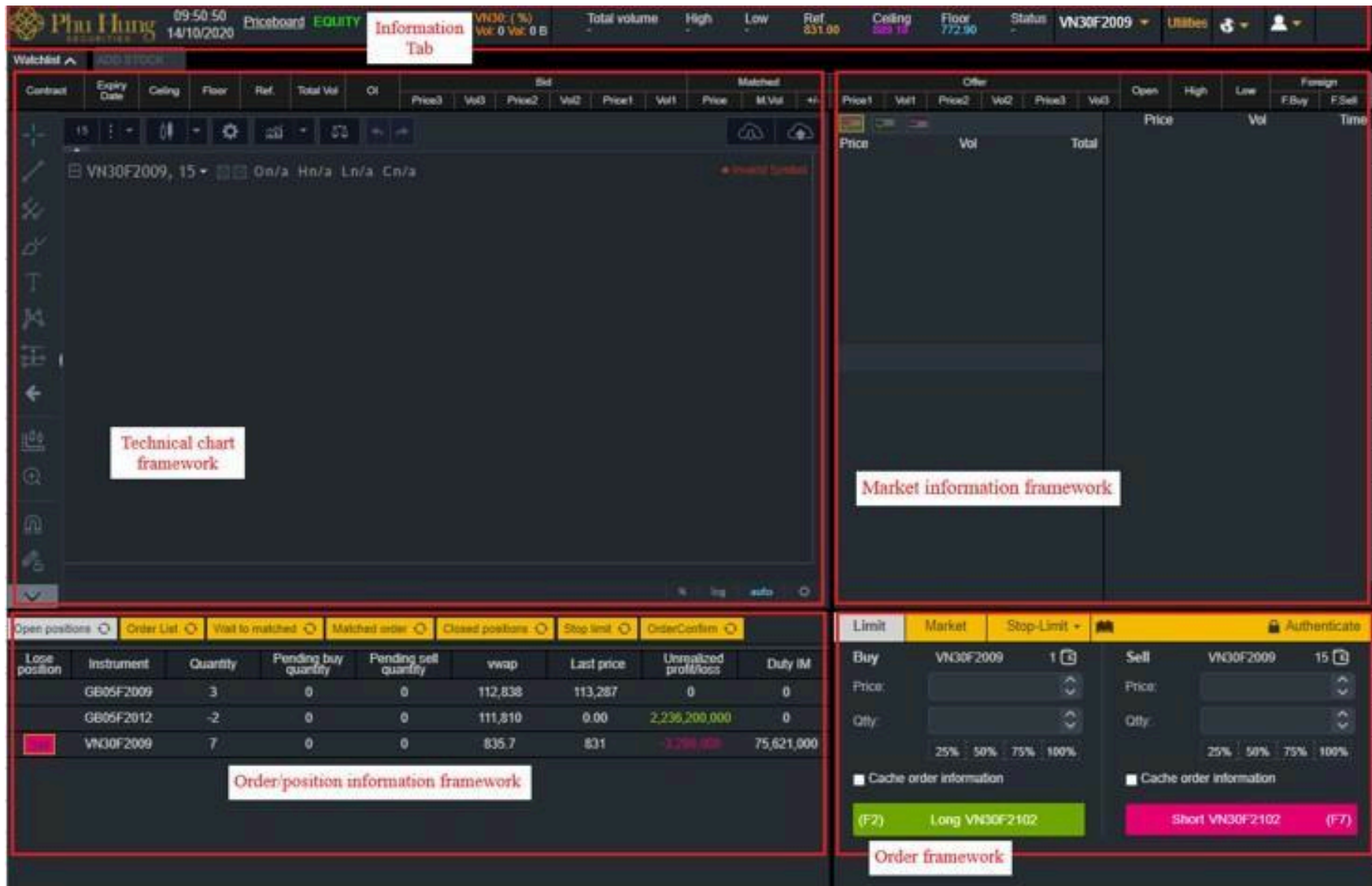
- If one of information is wrong, the system will be show notification and request to re-fill



II. TRADING

Trading screen will show immediately after customer login successful. The screen layout is divided into 5 parts:

- The information bar:
 - + Field of choose derivatives code need to follow/trade
 - + Information about the underlying asset index of the choosed derivatives code
 - + Utility
 - + Personal information
 - + Link to underlying trading page (PHS – Web Trading)
- Technical chart framework: located on the left side of the screen
- Market information framework which is on the right side of the screen displayed matched price, long/short surplus
- Order/position information framework
- Order framework




2.1 Information bar





All personal information of the account will be managed at PHS - Web Trading for underlying securities

For derivatives trading interface, customer can choose to set up of the showed confirmation

screen when placing order by the method choosing icon  then there will be the following

menu:

Status Open VN30F2110 Utilities  

Custodycd: 022C000034

FullName: CNTN PHS34

Trading account: 0001000025


Do not display the confirmation screen order

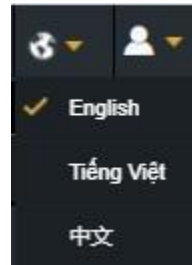
No horizontal price list displayed

[Log Off](#)

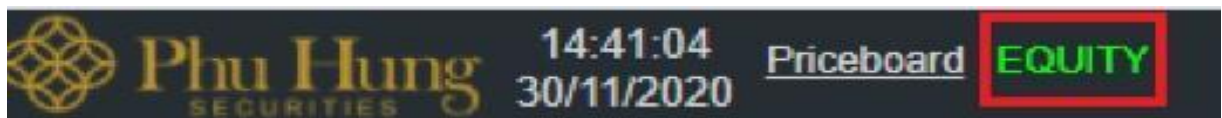
- Field of the Custodycd and FullName are fixed unchanged
- At tick box “Do not display the confirmation screen order”:
 - + If tick on box: when Place/Cancel/Amend order doesn’t display the confirmation screen.
 - + If do not tick on box: Place/Cancel/Amend order display the confirmation screen.
- At tick box “Not hozizontal price list displayed”:
 - + If tick on box: price board will be show on top of chart framework and market

information framework

- + If do not on box: do not show priceboard on trading screen
- Log out: Click “Log out” button: Log out of PHS – WEB FUTURES system
- Click  button to choose default language



- Click button  to transfer PHS - Web Trading for underlying securities trading



2.2 Technical chart framework



- Chart framework allows customer to observe the market and can use technical analysis as a trade tool. The drawing tools and technical indicators are also fully available from the Tool Panel in the screen.

2.3 Market information framework

Price	Vol	Total	Price	Vol	Time
			864.90	25	14:41:26
			864.90	2	14:41:24
			933.00	5	14:10:02
			933.00	1	11:21:30
			932.50	4	11:12:52
			932.20	2	11:12:50
995.10	10	36	932.00	1	10:50:50
936.00	1	26	932.50	1	10:48:14
935.00	10	25	932.00	129	10:46:04
934.50	2	15	932.00	171	10:39:18
934.00	3	13	932.00	200	10:37:22
933.50	4	10	930.00	13	10:15:54
933.00	4	6	864.90	48	10:15:52
864.90	2	2	864.90	3	09:29:56
			864.90	4	09:29:40
			864.90	5	08:56:24
			864.90	5	08:56:22
864.90 (-65.10 -7.00%) 25					
NaN					
NaN					
NaN					
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NaN					

20 prices with details of expected quantity and the total quantity of trading contract at each price level	The price and matched quantity following the matched order timelines
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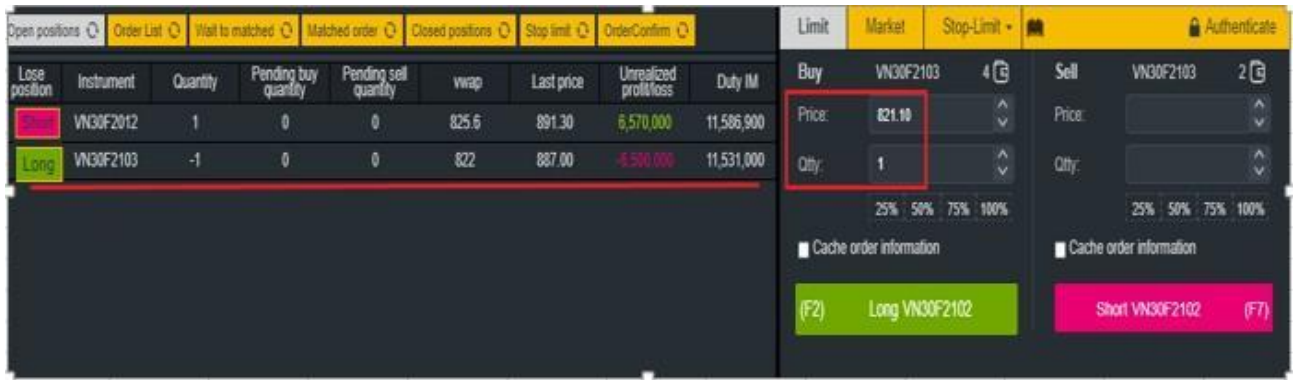
2.4 Order/position information framework

Order/position information framework includes screens to display the complete and most detailed customer's order/position, in which:

2.4.1 Open position

Open positions	Order List	Wait to matched	Matched order	Closed positions	Stop limit	Order/Confirm	Hide other symbols	
Lose position	Symbol	Quantity	Pending long quantity	Pending short quantity	Cost price	Last price	Unrealized profit/loss	Duty IM
Long	VN30F2103	-1	0	0	1,000.00	1,041.00	-4,100.000	14,088.000

- Choose **Short** or **Long** button to close position.



The system automatically changes the default derivatives code to the code in line just pressed to Long/Short button and the placing order frame automatically fill long price = floor price, short price = ceiling price, quantity = corresponding quantity to close the position of derivatives code

2.4.2 Order list

- Order list is an order book that displays all orders placed according to time in the day including derivatives code, order type, placed order quantity, placed price, status,...

The screenshot shows the 'Order List' interface with a table of orders. The 'Order List' tab is highlighted with a red box. The table contains the following data:

Order time	Instrument	Buy/Sell	Price type	Order quantity	Order price	Status	Matched quantity	Matched price	Matched value
13:13:35	VN30F2103	Short	LO	1	822	Match	1	822	82,200,000
13:11:22	VN30F2012	Long	LO	1	825.6	Match	1	825.6	82,560,000
13:10:54	VN30F2012	Short	LO	1	940	Match	1	940	94,000,000
13:10:09	VN30F2012	Long	LO	1	887	Match	1	887	88,700,000

2.4.3 Wait to matched

- Display orders matched unfull and cancelled/amend unmatched orders

The screenshot shows the 'Wait to matched' interface with a table of orders. The 'Wait to matched' tab is highlighted with a red box. The table contains the following data:


Cancel	Amend	Order time	Instrument	Long/short	Order quantity	Order price	Matched quantity	M
Cancel	Amend	16:05:38	VN30F2110	Long	5	864.9	0	

❖ **Customer can cancel one order or cancel all orders at this**

tab Step 1:



In case cancel each order, click  button at order line want to cancel

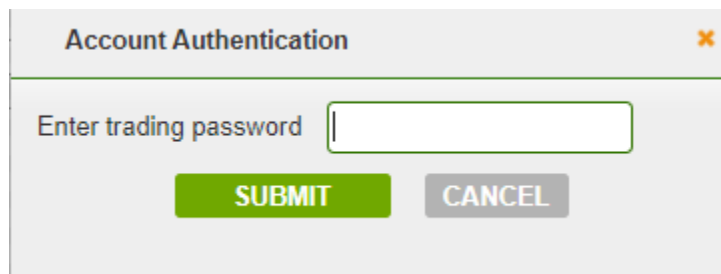


In case cancel all, tick on all box on cancel button and click to  button



Step 2:


- Click  button to close Cancel screen
- Enter  button
- Display the screen of account authentication



Step 3: Enter trading password. Enter  button, the system shows the message "Transaction successful".

Enter  button to cancel and return to the account authentication screen

❖ **If customer want to amend order**

Step 1: Click  button at order line want to amend:

Open positions	Order List	Wait to matched	Matched order	Closed positions	Stop limit	OrderConfirm			
Cancel	Cancel	Amend	Order time	Instrument	Buy/Sell	Order quantity	Order price	Matched quantity	Ma
Cancel	Cancel	Amend	13:49:21	VN30F2103	Buy	1	821.1	0	

Step 2: Enter information about quantity, price.

Step 3:

- Click **CLOSE** button to close Amend order screen
- Enter **APPROVE** button
- Display the screen of account authentication

Account Authentication ✕

Enter trading password

SUBMIT
CANCEL

Step 4: Enter trading password. Enter **SUBMIT** button to the system shows the message "Transaction successful".

Enter **CANCEL** button to cancel and return to the placing order screen

2.4.4 Matched order

- Showing matched orders during the day

Open positions	Order List	Wait to matched	Matched order	Closed positions	Stop limit	OrderConfirm	Hide other symbol
Matched time	Instrument	Long/short	Matched quantity	Matched price	Matched value		
10:40:32	VN30F2110	Long	171	932	15,937,200,000		
10:38:35	VN30F2110	Short	200	932	18,640,000,000		

2.4.5 Closed positions

- Summary of closed position pairs with VM profit and loss information and trading account for each code

Instrument	Closed quantity	Long price	Short price	Chraded price	Multiplier	VM profit/loss	Trading account
VN30F2012	1	887	940	53	100,000	5,300,000	0104005825

2.4.6 Stop limit

- Displays detailed information on conditional orders that customers was placed

Matched time	Instrument	Long/short	Matched quantity	Matched price	Matched value
10:40:32	VN30F2110	Long	171	932	15,937,200,000
10:38:35	VN30F2110	Short	200	932	18,640,000,000

2.4.7 Order confirm

- Showing the orders which customer required account management officer places on account
- To confirm, tick on each order or click all box and press to confirm

<input type="checkbox"/>	No.	Date	Trading account	Symbol	Long/short	Price type	Method	Order
<input type="checkbox"/>	1	26/01/2021	0113000840	VN30F2102	Long	LO	Broker	
<input type="checkbox"/>	2	29/12/2020	0113000840	VN30F2101	Short	LO	Broker	
<input type="checkbox"/>	3	29/12/2020	0113000840	VN30F2101	Short	LO	Broker	
<input type="checkbox"/>	4	29/12/2020	0113000840	VN30F2101	Short	LO	Broker	

2.5 Placing order framework


The order framework is divided into 2 sides: Long on the left and Short on the right. Details such as:

2.5.1 Limit order

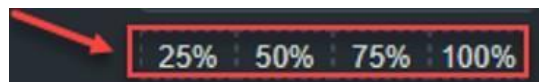


- In this framework, the maximum number of long/short of the futures contract code will be displayed in the information tab.
- In this framework, only Long/Short LO orders can be placed

Step 1: Enter price and quantity

Price: Enter options or chooses any price from the market information box, the chosen price automatically fill in the price box. (Click  button to increase/decrease the placed price +/- 0.1)

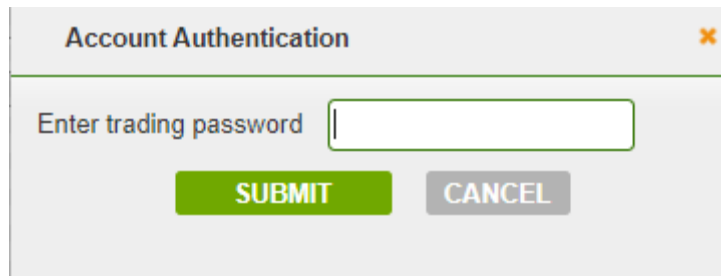
Quantity: Enter options or choose according to % maximum quantity to long/short. The system will automatically fill in quantity = Maximum long/short quantity * chosen percentage.



Step 2: Press the Long/Short button to place order.



Step 3: Fill trading password to authentication

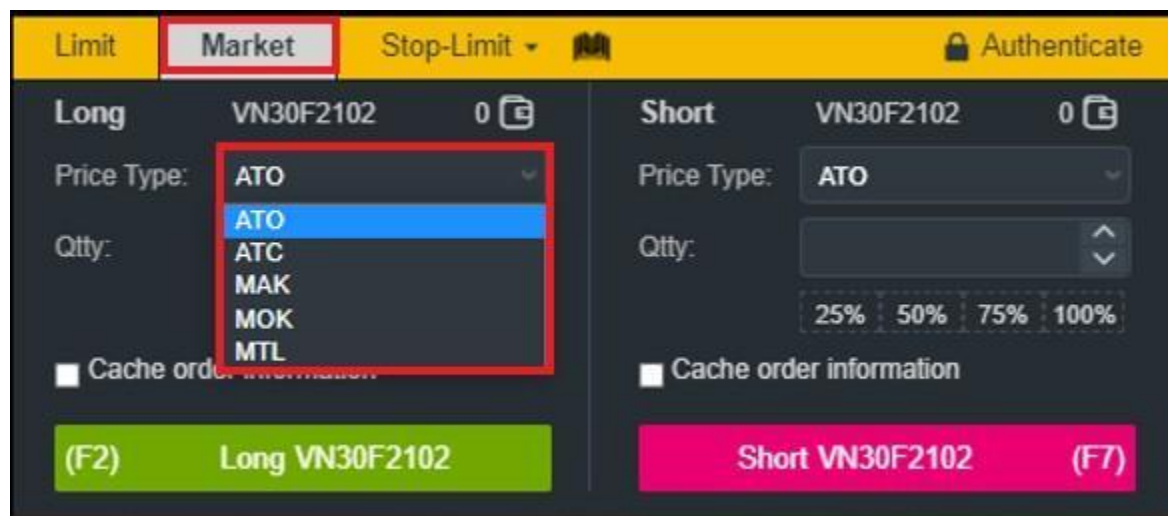


Account Authentication ✕

Enter trading password

2.5.2 Market order

- Place orders similar to the limit order tab
- At this tab, only place ATO, ATC, MAK, MOK, MTL orders and cannot place LO orders.



Limit **Market** Stop-Limit ⌵ Authenticate 🔒

Long VN30F2102 0 📄

Price Type:
 ATO
 ATO
 ATC
 MAK
 MOK
 MTL

Qty:

Cache order information

(F2) Long VN30F2102

Short VN30F2102 0 📄

Price Type: ATO

Qty:

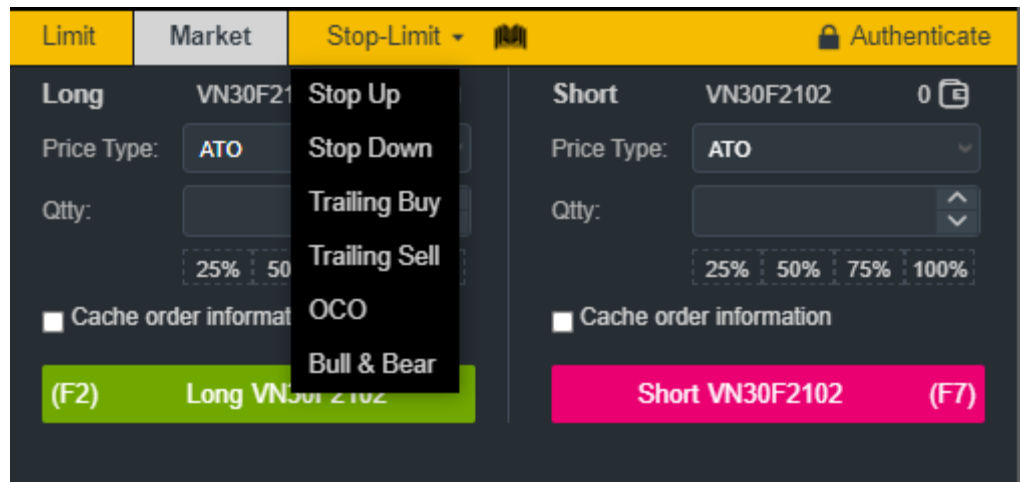
25% 50% 75% 100%

Cache order information

Short VN30F2102 (F7)

2.5.3 Conditional order

- Place orders similar to the limit orders tab
- At this tab, can choose the types of order conditions: Stop up, Stop down, Trailing Buy, Trailing Sell, OCO, Bull&Bear



- Condition order types will be active when the market reaching condition of order during the day, condition order arises limit order to market, so that:
 - + For orders arised limit order, to cancel and amend order performing the same limit order
 - + For orders do not enough condition to active, allowed to cancel, to cancel choose stop limit tab and press to cancel:

Cancel	Detail	Order time	Instrument	Long/short	Price Type	Condition	Order quantity	Order price	Stop price	Trailing amount
Cancel	View	11:50:44	VN30F2201	Short	LO	Stop-up	3	980	1030	0
Cancel	View	11:50:24	VN30F2201	Short	LO	Stop-up	2	930	1030	0

- Condition order places the order do not allow to excess maximum quantity of long/short



2.5.3.1 Stop up

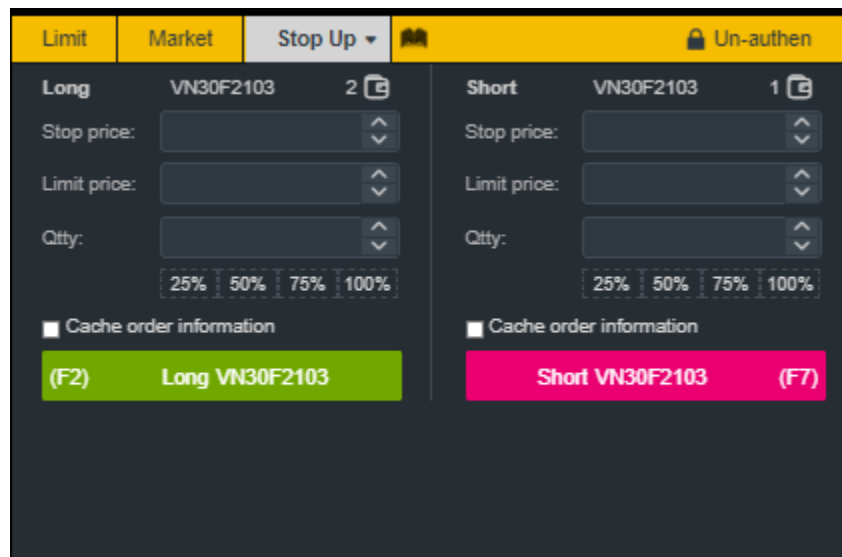
- Stop up order is used when the market has an uptrend (Stop up), the order is activated to push into the Stock Exchange when the latest matching price is \geq stop price

Stop price: is the price set by the customer

Limit price: is the order price when the order has activated to push into the Stock Exchange. This is the order price of the limit order (LO)

The purpose of the order: Auto take profit when customer is holding long position or long automatic stop loss when customer is holding Short position or open a new Long or Short position when the latest market price is \geq stop price

Step 1: Choose “Stop up” order of combobox

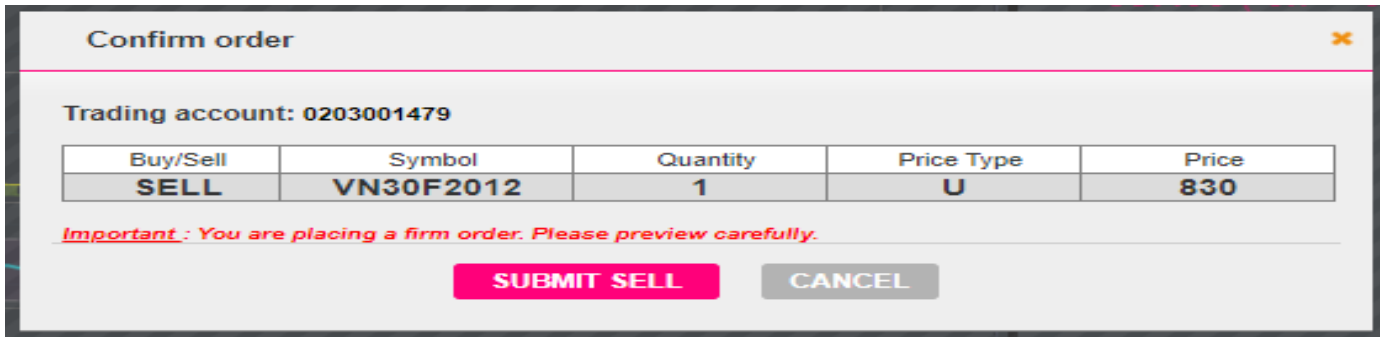


Step 2: Enter the order information: Contract code, Long/Short, Stop price, Limit price, Quantity

Field	Detailed description
Conditional order	Stop up
Long/Short	Choose a Long or Short box: <ul style="list-style-type: none"> The box shows the green Long box The box shows the pink Short box
Stop price	Enter the stop price, follow the price rules, price steps. The Stop price must be greater than the latest matching order price at the time of placing the order
Limit price	Enter limit price, comply with regulations on price, step price

Field	Detailed description
Quantity	Quantity of order place

Step 3: Confirm order



Confirm order

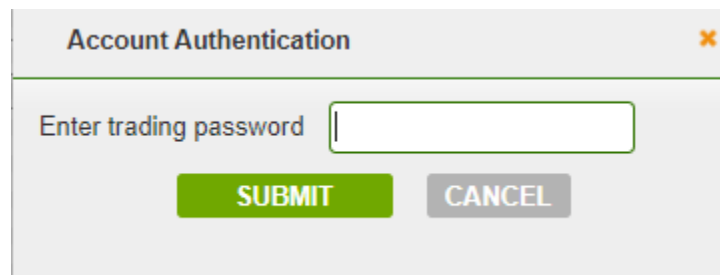
Trading account: 0203001479

Buy/Sell	Symbol	Quantity	Price Type	Price
SELL	VN30F2012	1	U	830

Important: You are placing a firm order. Please preview carefully.

SUBMIT SELL CANCEL

- Press the Confirm Long/Short button to confirm the order

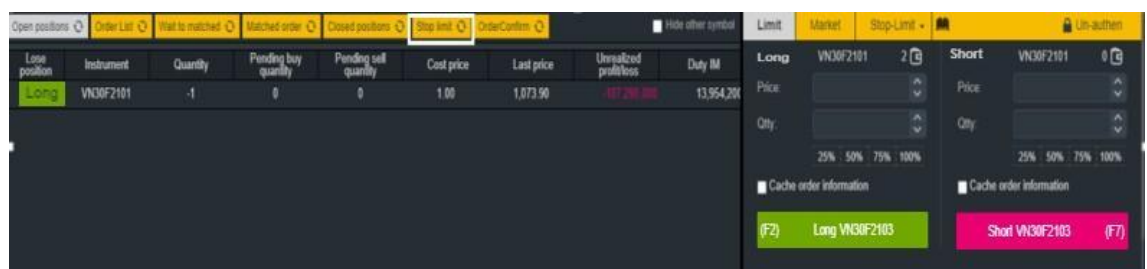


Account Authentication

Enter trading password

SUBMIT CANCEL

- ✓ Enter trading password to verify transaction, if customer has not previously placed trading password.
- ✓ Press the “Submit” button to complete the order, the order is successfully placed, the system will display the message "Request sent. Please check the condition order book again! ". Customers can go to the tab "Conditional orders" to check



Long position	Instrument	Quantity	Pending buy quantity	Pending sell quantity	Cost price	Last price	Unrealized profit/loss	Duty IM
Long	VN30F2101	-1	0	0	1.00	1,073.90	107,290.800	13,954.200

Limit Market Stop-Limit

Long VN30F2101 2 Price City 25% 50% 75% 100%

Short VN30F2101 0 Price City 25% 50% 75% 100%

(F2) Long VN30F2103 Short VN30F2103 (F7)

order details

2.5.3.2 Stop down

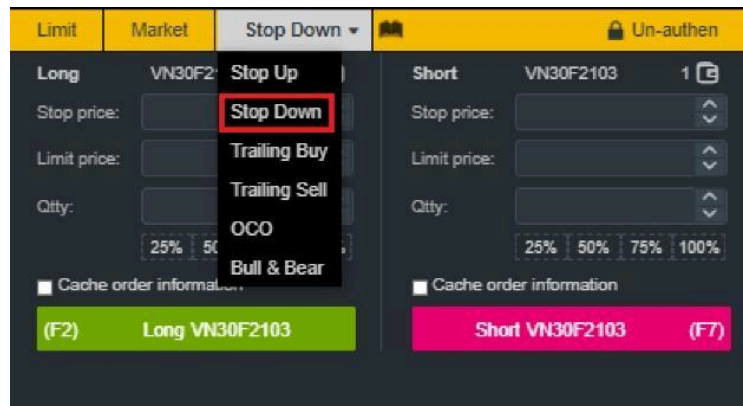
- Stop down order is used when the market has a downtrend (Stop down), the order is activated to push into the Stock Exchange when the latest matching price \leq stop price.

Stop price: is the price set by the customer

Limit price: is the order price when the order has activated to push into the Stock Exchange. This is the order price of the limit order (LO).

- The purpose of the order:
 - + Long automatic take profit when customer is holding Short position
 - + Short stop loss automatically when the customer is holding a Long position
 - + Open a new Long or Short position when market price \leq stop price

Step 1: Choose “Stop down” order of combobox



Step 2: Enter the order information: Contract code, Long/Short, Stop price, Limit price, Quantity

Field	Detailed description
Conditional order	Stop down
Long/Short	Choose a Long or Short box: <ul style="list-style-type: none"> • The box shows the green is Long box • The box shows the pink is Short box

Field	Detailed description
Stop price	Enter the stop price, follow the price rules, price steps. The Stop price must be less than or equal the lastest matching order price at the time of placing the order
Limit price	Enter limit price, comply with regulations on price, step price
Quantity	Quantity of order place

Step 3: Similar as Stop up order

2.5.3.3 Trailing Buy

- Long order with a Long price trend, closely following the downtrend of the market to get the best Long price. When the market price falls, the activated price will be adjusted downward accordingly to ensure that the “Trailing amount” price fluctuation is not exceeded; when the market price rises, the activated price remains the same. When the market price \geq the activated price, the order will be activated.

Trailing amount: activated price fluctuation. At the time of market price \geq activated price, it pushes a LO order with placing price = market price + price step adjustment “Limit offset” into system

Limit offset (price step adjustment): the price difference between placing price and market price when the order is activated. The price difference ≥ 0 and even according to the system's unit price.

- The purpose of the order:

Long to take profit automatically when customer are holding Short position

Long stop loss automatically when customer is holding Short position

Opening a new long position with optimal long price to minimize costs

Step 1: Choose “Trailing Buy”

Step 2: Enter the order information: Contract code, Trailing amount, Limit offset, Quantity

Field	Detailed description
Conditional order	Trailing Buy
Long	Display Buyer's order place <ul style="list-style-type: none"> • The box shows the green Long box • The Short button is disable
Trailing amount	The price difference between activated price and market price: Set the activated price higher than the market price <ul style="list-style-type: none"> • Allowable input value as fluctuation regulation • Use the scroll bar on the screen, up/down arrow on the keyboard to adjust
Limit offset	The difference of unit price between placing price and market price when order is activated <ul style="list-style-type: none"> • Allowable input value as unit price regulation • Use the scroll bar on the screen, up/down arrow on the keyboard to adjust
Quantity	Quantity of order place

Step 3: Similar as Stop up order

2.5.3.4 Trailing Sell

- A Short trending order with Short price closely following the uptrend of the market to get the best Short price. When the market price increases, activated price be adjusted to increase accordingly to ensure not exceeding the price band “Trailing amount”, when the

market price decreases, the activated price is the same. The market price is below (\leq) activated price, the order is activated

Trailing amount: price fluctuation activated adjustment. At the time of market price \leq activated price, it pushes a LO order with placing price = market price - price step adjustment “Limit offset” into system

Limit offset: the price difference between placing price and market price at the order is activated. The price difference ≥ 0 and even according to the system's unit price

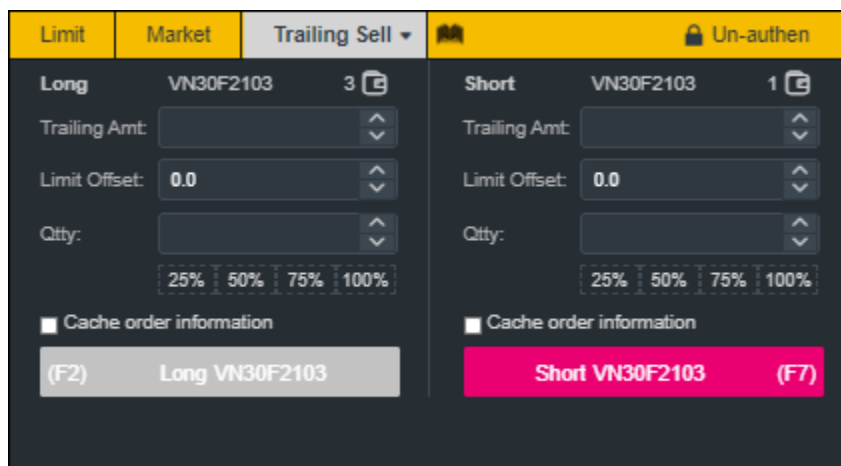
- The purpose of the order:

Short to take profit automatically when customer is holding Long positions

Short to stop automatically when customer is holding Short position

Opening a new Short position with optimal short price to minimize costs

Step 1: Choose “Trailing sell”



Step 2: Enter the order information: Contract code, Trailing amount, Limit offset, Quantity

Field	Detailed description
Conditional order	Trailing Sell

Short	<p>Display seller's order place</p> <ul style="list-style-type: none">• The box shows the pink Short button• The Long button is disable
-------	--

Field	Detailed description
Trailing amount	<p>The price difference between activated price and market price: Set the activated price higher than the market price</p> <ul style="list-style-type: none"> • Allowable input value as fluctuation regulation • Use the Scroll bar on the screen, up/down arrow on the keyboard to adjust
Limit offset	<p>The difference of unit price between placing price and market price when order is activated</p> <ul style="list-style-type: none"> • Allowable input value as unit price regulation • Use the scroll bar on the screen, up/down arrow on the keyboard to adjust
Quantity	Quantity of order place

Step 3: Similar as Stop up order

2.5.3.5 OCO

- It combines a stop loss order (Stop up or Stop down) and limit order to close position (take profit) at the price that customer expect (these 2 orders have the same type of Long/Short, the same code and the same quantity)

In case the limit order is cancelled/amended or received of the matched signal (partial match or full match), this stop order will be cancelled

In case the stop order is activated, the limit order will be cancelled (with the condition of the limit order is cancelled)

- The purpose of the order:

Place a limit order to take profit on an existing Long position, with a Stop down order automatically cut loss if the market trend decreases

Place a long limit order to take profit on an existing Short position, with a Stop up order that automatically cuts loss if the market trend increases.

Opening a new Long/Short position according to customer's request

Step 1: Choose “OCO” order

Step 2: Choose “Long” or “Short” order

Choose Contract code, enter order information (Profit price, Stop price, Loss price, Quantity)

Field	Detailed description
Conditional order	Choose OCO
Long/Short	Choose a Long or Short box: <ul style="list-style-type: none"> The box shows the green Long box The box shows the pink Short box
Profit price	Enter limit price for stop order: comply with regulations on price, price step
Stop price	Enter stop price comply with regulations on price, step price
Loss price	Enter loss price comply with regulations on price, step price
Quantity	Quantity of order place

Step 3: Similar as Stop up order

2.5.3.6 Bull&Bear

- A combination of the orders:
 - + Limit order (a) Long/Short: use to open new position

Limit order (b) to close the open position (take profit)

Stop loss order (c) (Stop up or Stop down) at the customer's set price. The pair of orders (b) and (c) is created after the order (a) showed a match signal

+ After the limit order (a) has a match signal (including partial or full matching), the system creates a request to push pairs of orders (b), (c) respectively.

+ The processing part generates pairs of orders (b), (c) corresponding to the matched signal of the limit order (a) with options for customer to choose on the order placing interface, including:

Open order with full matching: limit order (a) is completely matched to create an order (b), (c)

Open order has a matching signal: limit order (a) has signal of partial match, creating pairs of (b), (c) respectively.

- The purpose of the order:

+ Open a new Long position, concurrently place a Short limit take profit order on a position with a Stop down order to automatically cut loss if the market trend decreases.

+ Open a new Short position, concurrently place a long limit take profit order on an existing Short position with a Stop up order to automatically cut loss if the market trend increases.

+ Opening a new Long/Short position according to customer's request

Step 1: Choose “Bull & Bear” order

The screenshot displays a trading interface with a dark background and yellow accents. At the top, there are tabs for 'Limit', 'Market', and 'Bull & Bear', with 'Bull & Bear' selected. To the right of the tabs is a 'Un-authen' status indicator. Below the tabs, there are radio buttons for 'Long' (selected) and 'Short'. The main area shows the order entry form for a 'Long' position on the symbol 'VN30F2103' with a quantity of '3'. The 'Mode' is set to 'Partial Fill'. There are input fields for 'Limit price', 'Profit price', 'Stop price', and 'Loss price'. At the bottom, there is a 'Cache order information' checkbox and a row of percentage buttons: '25%', '50%', '75%', and '100%'. A green button at the bottom left contains the text '(F2) Long VN30F2103'.

Step 2: Choose “Long” or “Short” order, Contract code

Enter order information: Mode, Limit price, Profit price, Stop price, Loss price, Quantity

Field	Detailed description
Conditional order	Bull & Bear
Long/Short	Choose box to placed Long order or Short order: <ul style="list-style-type: none">• The box shows the green Long box• The box shows the pink Short box
Mode	Choose option: <ul style="list-style-type: none">• Open order with full matching: limit price (a) matched to create 1 order (b), (c)• Open order has a matching signal: limit order (a) has signal of partial match, creating pairs of (b), (c) respectively.
Limit price	Enter the limit price for the initial limit order: comply with regulations on price, price step
Profit price	Expected price of profit taking: comply with regulations on price, price step
Stop price	Stop price for stop loss order: comply with regulations on price (ceiling/floor price, stop price of Stop up, Stop down), price step
Loss price	Stop-loss price or the order price of the stop order: comply with regulations on price, price step
Quantity	Quantity of order place

Step 3: Similar as Stop up order

III. UTILITIES

3.1 Cash Deposit

3.1.1 Cash Deposit from Equity to Futures account

On the Menu Bar, press “**Utilities**” => “**From Equity to Futures account**”:

Description: The customer transfers money from normal sub-account of underlying account (set default trading account) to a derivatives trading account for various purposes such as: margin, payment of VM, payment of trading fees,...

Step 1. Choose the trading account to deposit cash

Note: The deposit amount must be less than or equal to the amount withdrawn from the underlying account

Step 2: cash deposit from underlying account to derivatives trading account



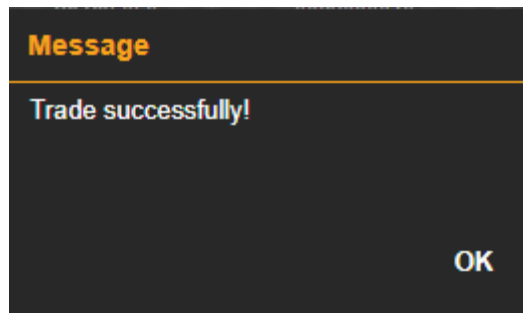
Click


button to re-perform the deposit transaction.



Click

button to cash deposit. Show message “Trade successfully!”



Step 3: Press  button to completely cash deposit

Transaction history


TRANSACTION HISTORY

From date: 12/10/2020 To date: 19/10/2020

Effective date	Trading date	Transaction number	Trading account	Amount	Status
19/10/2020	19/10/2020	0068000010	0203001479	1,000,000	Complete

Description: “Transaction history” screen allows customer to check the payment history of underlying account to a derivatives trading account that the customer performed

Step 1: Enter information: from date to date

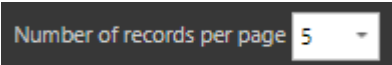
Step 2: Click  button to search for information

TRANSACTION HISTORY

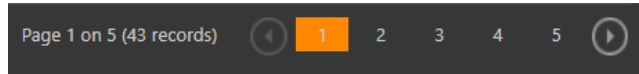
From date: 12/10/2020 To date: 19/10/2020

Effective date	Trading date	Transaction number	Trading account	Amount	Status
19/10/2020	19/10/2020	0068000010	0203001479	1,000,000	Complete

Note:

+ Customize the number of records per page, press  to customize according to what customer performed

+ When the number of records has multiple pages, choose the number of pages that customer wants to see. Click the number of pages to see



Step 3: Click  button to export Excel file.

3.1.2 Cash Deposit from Futures to Margin account (VSD)

On the Menu bar, press “Utilities” => “From Futures to Margin account (VSD)”

The screenshot shows the 'From Futures to Margin account (VSD)' transaction form. The interface includes a top navigation bar with 'Utilities', 'Account', 'Report', 'Information', and 'Settings'. A left sidebar contains a menu with 'Cash Deposit' (selected), 'Cash Withdrawal', and 'Other functions'. The main content area is divided into 'TRANSACTION INFORMATION' and 'TRANSACTION HISTORY'.

TRANSACTION INFORMATION

Margin account	022C014282	Trading account	0113000840
Full name	[Redacted]	Available balance	0
ID/Passport	[Redacted]	Deposit amount	[Redacted]
Issued date	[Redacted]	Fee type	Internal fee
Issued place	[Redacted]		

Note: Deposit mortgage cash time from 08:00:00 to 16:30:00.

Buttons: Accept, Refresh

TRANSACTION HISTORY

From date: 29/01/2021 To date: 05/02/2021 Status: All Search

Trading date	Effective date	Trading account	Deposit amount	Status
No data to display				

Description: The customer requests to deposit initial/maintain margin in cash into a margin account managed by VSD.

Step 1: At “Trading account” box choose trading account to deposit cash

Step 2: At “Deposit amount” box enters the amount.

Note: The deposit amount must be less than or equal to the usable amount.

Step 3: Check transaction information

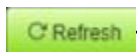
TRANSACTION INFORMATION

Margin account	022C000034	Trading account	0001000025
Fullname	CNTN PHS34	Available balance	10,917,875
ID number	CNTN PHS34	Deposit amount	
ID date	01/01/2019	Fee type	Internal fee
ID place	PHSHN		

Accept Refresh

- Internal fee: fee amount of transfer and tax (if any), it is deducted of cash deposit amount which deposited by customer to margin account.

Step 4:



Refresh

- Click

button to re-perform the deposit transaction.



Accept

- Click

button to deposit cash. Show the screen to confirm deposit information.

Transaction information

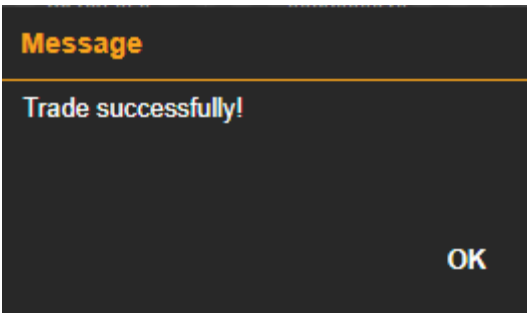
Trading account	
Deposit amount	
Transfer fee	
Transfer VAT	
Cut amount	
Receive amount	

Accept Close

Step 5:

- Click Close button to re-perform the deposit transaction

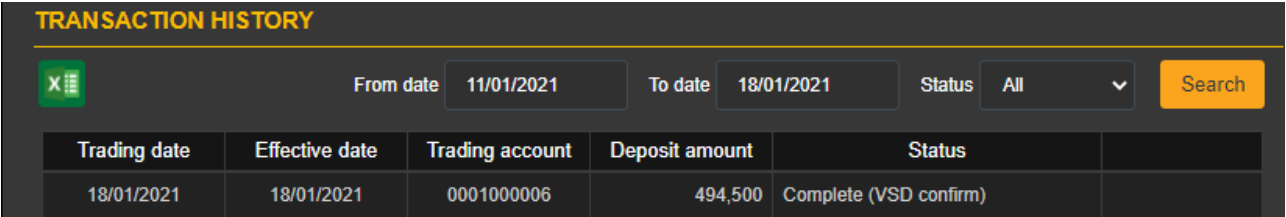
- Click Accept button to deposit cash. Show message “Trade successfully!”



Step 6: Click

button to completely cash deposit

Transaction history

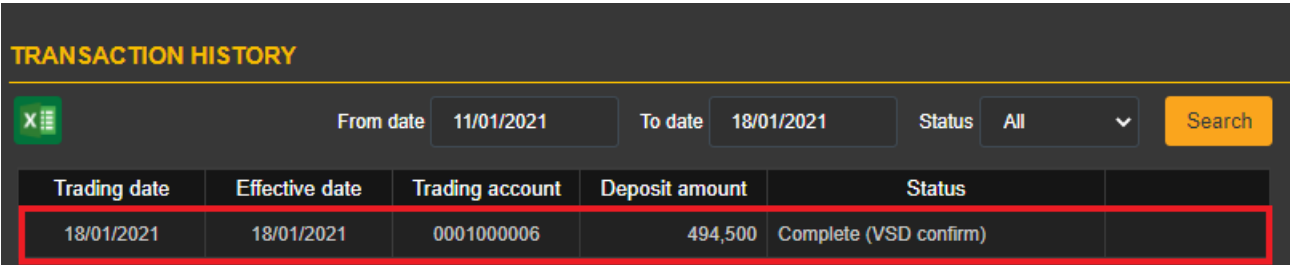


Description: "Transaction history" screen allows customer to check the deposit history of from Futures to Margin account (VSD) that the customer performed

Step 1: Enter information as below:

- From date
- To date
- Status

Step 2: Click button to search for information



Note:

+ Customize the number of records per page, press
customize according to what customer performed

Number of records per page 5 ▾

to

+ When the number of records has multiple pages, choose the number of pages that customer want to see. Click the number of pages to see



Step 3:
Click



button to export Excel
file.

3.2 Cash Withdrawal

3.2.1 Cash Withdrawal from Margin account (VSD) to Futures account

On the menu Bar, press “Utilities” => “From Margin account (VSD) to Futures account”

TRANSACTION INFORMATION

Margin account: 022C014282 Trading account: 0113000840

Full name: [Redacted] Max withdrawal amount: 0

ID/Passport: [Redacted] Withdrawal amount: [Redacted]

Issued date: [Redacted] Fee type: Internal fee

Issued place: [Redacted]

Note: Withdraw mortgage cash time from 08:00:00 to 16:00:00.

Buttons: Accept, Refresh

TRANSACTION HISTORY

From date: 29/01/2021 To date: 05/02/2021 Status: All Search

Trading date	Effective date	Trading account	Withdrawal amount	Status
05/02/2021	05/02/2021	0113000840	28,460,429	Complete (VSD confirm)

Description: Customer withdraw money from the margin account is managed by VSD to the trading account is managed by PHS

Step 1: At “Margin account” box choose trading account to cash withdraw

Step 2: Enter withdrawal amount.

TRANSACTION INFORMATION

Margin account	<input type="text" value="022C000034"/>	Trading account	<input type="text" value="0001000025"/> ▼
Fullname	CNTN PHS34	Max withdrawal amount	5,757,864,450
ID number		Withdrawal amount	<input type="text"/>
ID date		Fee type	Internal fee
ID place			

Note: The withdrawal amount must be less than or equal to the maximum amount withdrawn.

Step 3: Confirm to perform transaction

- Click button to re-perform withdrawal transaction
- Click button to cash withdraw => Show the screen to confirm payment information.

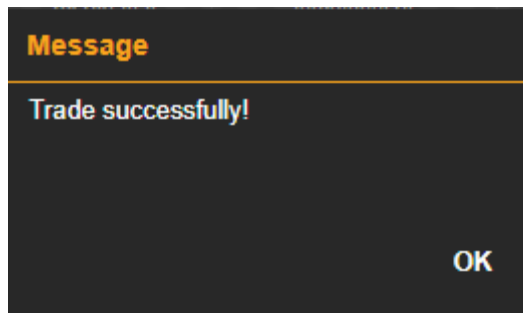
Transaction information

Withdrawal amount	
Transfer fee	
Transfer VAT	
Total amount	
Receive amount	



- Click button to re-perform the withdrawal transaction

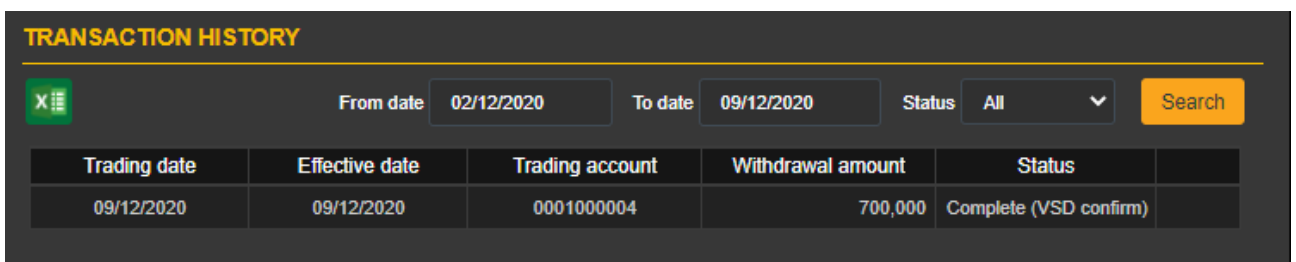
Click button to cash withdraw. Show message “Trade successfully!”



Step 5: Click

button to completely cash withdraw

Transaction history

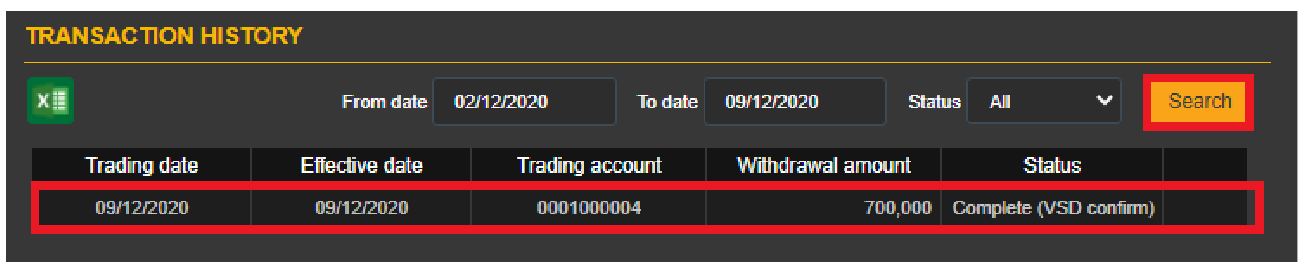


Description: "Transaction history" screen allows customer to check the payment history of cash deposit from margin account to derivative trading account that the customer performed

Step 1: Enter information

- From date
- To date
- Status

Step 2: Click button to search for information



Note:


+ Customize the number of records per page, press
customize according to what customer performed

Number of records per page 5 ▾

to

+ When the number of records has multiple pages, choose the number of pages that customer want to see. Click the number of pages to see



Step 3:  button to export Excel file
Click

3.2.2 From Futures to Equity account

On the Menu Bar, press “Utilities” => “From Futures to Equity account”

The screenshot shows the Phu Hung Securities web interface. The top navigation bar includes 'Utilities', 'Account', 'Report', 'Information', and 'Settings'. The main content area is titled 'TRANSACTION INFORMATION' and contains the following fields:

- Margin account: 022C014282
- Trading account: 0113000840
- Max withdrawal amount: 0
- Withdrawal amount: (empty field)

Below the fields are 'Accept' and 'Refresh' buttons. A note states: 'Note: Cash Withdrawal time from Futures to Equity account from 08:00:00 to 16:00:00.' Below this is the 'TRANSACTION HISTORY' section, which includes a search bar with 'From date' (29/01/2021) and 'To date' (05/02/2021) fields, and a table with columns: Trading date, Effective date, Trading account, Withdrawal amount, and Status. The table currently displays 'No data to display'.

Description: In case customer want to transfer money from derivatives account to underlying account

Step 1: At “Trading account” box choose trading account to cash withdraw

This is a close-up of the 'TRANSACTION INFORMATION' section. The 'Trading account' dropdown menu is highlighted with a red box and shows the selected value '0105000007'. The 'Withdrawal amount' input field is also highlighted with a red box and is currently empty. The 'Max withdrawal amount' is displayed as 7,749,305. 'Accept' and 'Refresh' buttons are visible at the bottom.

Step 2: Enter withdrawal amount.

Note: The amount withdrawn must be less than or equal to the maximum amount withdrawn.

Step 3.



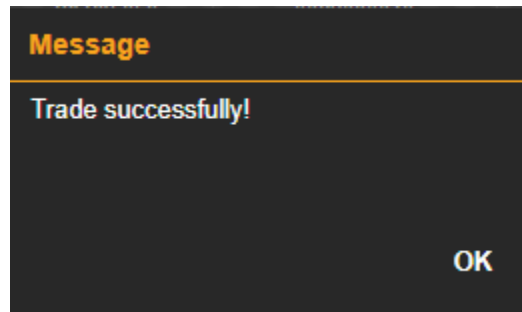
Click

button to re-perform the withdrawal transaction.



Click

button to cash withdraw. Show message “Trade successfully!”



Step 4: Click



button to completely cash withdraw

Transaction history

Description: “Transaction history” screen allows customer to check the withdrawal history from derivative trading account to underlying account that the customer performed

Trading date	Effective date	Trading account	Withdrawal amount	Status	
09/12/2020	09/12/2020	0001000025	50,000	Complete	

Step 1: Enter information

From date

To date

Step 2: Click  button to search for information

TRANSACTION HISTORY



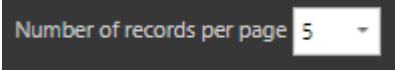
From date 02/12/2020

To date 09/12/2020

Search

Trading date	Effective date	Trading account	Withdrawal amount	Status	
09/12/2020	09/12/2020	0001000025	50,000	Complete	

Note:

+ Customize the number of records per page, press  to customize according to what customer performed

+ When the number of records has multiple pages, choose the number of pages that customer want to see. Click the number of pages to see



Step 3:
Click



button to export Excel file.

3.2.3 From Futures account to Bank

On the Menu Bar, press “Utilities” => “From Futures account to Bank”

The screenshot displays the 'TRANSACTION INFORMATION' screen in the Phu Hung Securities trading platform. The interface includes a top navigation bar with the company logo, time (11:55:04), date (09/12/2020), and various utility links. On the left, there are menu options for 'Cash Deposit', 'Cash Withdrawal', and 'Other functions'. The main area is divided into two sections: 'TRANSACTION INFORMATION' and 'TRANSACTION HISTORY'.

TRANSACTION INFORMATION

Margin account	022C000034	Beneficiary account	25699999931000
Trading account	0001000025	Beneficiary name	CNTN PHS34
Full name	CNTN PHS34	Amount	
Cash available	2,455,383,793	Fee type	Internal fee
		Description	
		Beneficiary bank	BIDV
		Province/City	TP HCM
		Branch	Q1

Note:

- 1) Time for executing money transfer within a day start at 08:00:00 until 16:00:00
- 2) Transfer fees are calculated by current regulations of the bank and customer is subjected to these fees
- 3) The maximum transfer amount to the same beneficiary's holder or to other beneficiary's holder with notarized: 15,000,000,000 VND /transaction 15,000,000,000 VND /day
- 4) The maximum transfer amount to other beneficiary's holder without notarized: 20,000,000 VND /transaction 100,000,000 VND /day

Buttons: Accept, Refresh

TRANSACTION HISTORY

From date: 02/12/2020 To date: 09/12/2020 Search

Trading date	Effective date	Trading account	Amount	Status	Beneficiary account	Beneficiary name
No data to display						


Description: The purpose for customer transfer from Futures account to Bank account registered at PHS


Transaction information screen show information such as margin account, trading account, full name, maximum amount to be transferred and related information of beneficiary such as: beneficiary name, beneficiary account, beneficiary bank,...

Step 1: Choose beneficiary account then the information of beneficiary, beneficiary bank, province/city and the Branches/Trading offices will be loaded according to the beneficiary's number.

Step 2: Enter amount

Note: The amount transferred must be less than or equal to the maximum amount transferred.

Step 3: Click  button to re-perform the transfer from the transaction account to the bank

Click  button to transfer money to bank account and show confirmation screen of transfer money information

Confirm

Beneficiary bank

Beneficiary bank	ACB
Province/City	HỒ CHÍ MINH
Branch	NAM SÀI GÒN

Benefit

Bank account	
Beneficiary name	
ID number	
ID date	
ID place	

Detail

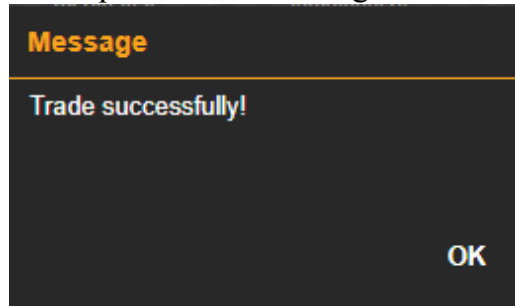
Amount	50,000,000
Transfer fee	0
Tax	0
Receive amount	50,000,000
Execution time	11:30:03
Description	TRANSFER MONEY
Pin	<input type="text"/>

OTP code is sent via SMS to the customer's phone number. Customer enter the OTP that has just been sent to SMS in the verification code field.

Step 4:

- Click button to exit the screen

- Click  button to confirm request. Show message “Trade successfully!”



OK Step 5: Click

button to complete the transaction

Transaction history

Description: “Transaction history” screen allows customer to search transaction history from derivative account to bank account that customer has perform

TRANSACTION HISTORY

From date 02/12/2020 To date 09/12/2020

Trading date	Effective date	Trading account	Amount	Status	Beneficiary account	Beneficiary name
09/12/2020	09/12/2020	0001000006	12,000,000	Complete	1251200002356	CNTN PHS03
09/12/2020	09/12/2020	0001000006	6,000,000	Complete	1251200002356	CNTN PHS03

Step 1: Enter information

- From date
- To date

Step 2: Click button to search for information

TRANSACTION HISTORY

From date 02/12/2020 To date 09/12/2020

Trading date	Effective date	Trading account	Amount	Status	Beneficiary account	Beneficiary name
09/12/2020	09/12/2020	0001000006	12,000,000	Complete	1251200002356	CNTN PHS03
09/12/2020	09/12/2020	0001000006	6,000,000	Complete	1251200002356	CNTN PHS03

Note:

- + Customize the number of records per page, press to customize according to what customer performed
- + When the number of records has multiple pages, choose the number of pages that customer want to see. Click the number of pages to see

Page 1 on 5 (43 records)

Step 3: button to export Excel file.

3.3 Other functions

3.3.1 Pay late payment principle

On the Menu Bar, press “Utilities” => “Pay late payment principle”

The screenshot displays the 'Pay late payment principle' interface. The top navigation bar includes 'Utilities', 'Account Information', 'Report', 'Information', and 'Settings'. The left sidebar menu is expanded to show 'Pay late payment principle'. The main content area is divided into two sections: 'TRANSACTION INFORMATION' and 'TRANSACTION HISTORY'. The 'TRANSACTION INFORMATION' section shows a trading account of 0001000025, an amount of 2,486,043,869, a late payment principle of 0, a total late payment amount of 0, and a late payment fee of 0. There are 'Accept' and 'Refresh' buttons. The 'TRANSACTION HISTORY' section has a search filter for dates from 02/12/2020 to 09/12/2020 and a table with columns for 'Effective date', 'Trading account', 'Paid late payment amount', 'Paid late payment fee', and 'Method'. The table currently shows 'No data to display'. The bottom of the screen shows 'Page 1 on 0 (0 records)' and 'Number of records per page 5'.

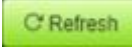

Description: The purpose for customer to pay late payment

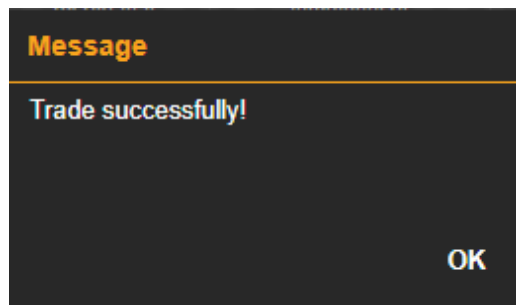
Transaction information screen show information such as amount, late payment principle, late payment fee, total late payment amount, payment amount.

Step 1. Enter payment amount

Note: The payment amount must be less than or equal to the total late payment

Step 2.

- Click  button to re-perform the payment
- Click  button to pay late payment. Show message “Trade successfully!”





Step 3. Click



button to completely pay late payment

Transaction history


Step 1: Enter information

□ From date

□ To date

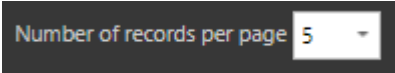
Step 2: Click  button to search for information

TRANSACTION HISTORY

 From date To date

Effective date	Trading account	Paid late payment amount	Paid late payment fee	Method
07/12/2020	0001000022	20,000,000	0	Broker
07/12/2020	0001000022	62,000,000	0	Online Trading

Note:

- + Customize the number of records per page, press  to customize according to what customer performed
- + When the number of records has multiple pages, choose the number of pages that customer want to see. Click the number of pages to see



Step 3:
Click



button to export Excel file.

IV. TAB ACCOUNT

4.1 Account information

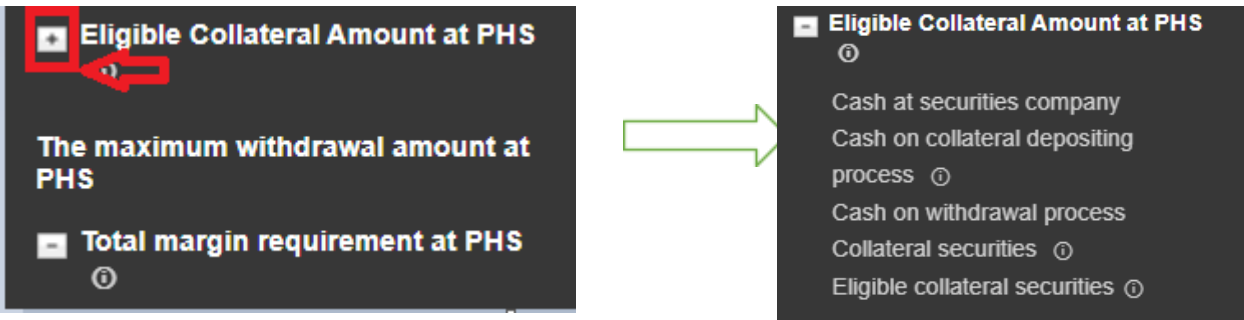
- "Account information" screen is the general search screen, it allows customer to search the balance of money, stock value, asset value, due margin amount must deposit, fee, customer's

total late payment,...

Step 1: Choose “Account” =>“Summary account information”. The screen shows the general information of the trading account for which the customer has choosed Default trading account in the tab Setting/Setting up default account.

Trading account information		TRADING ACCOUNT INFORMATION			
Balance		Net asset value ⓘ	49,713,806		
		Eligible Collateral Amount at PHS ⓘ	49,713,806	Eligible Collateral Amount at VSD ⓘ	24,989,000
		Cash at securities company	25,112,550	Minimum cash collateral ratio	100%
		Cash on collateral depositing process ⓘ	0	The maximum withdrawal amount at VSD	5,009,857
		Cash on withdrawal process	0	Total margin requirement at VSD ⓘ	13,985,400
		Collateral securities ⓘ	0	Matched order margin ⓘ	13,985,400
		Eligible collateral securities ⓘ	0	Position loss ⓘ	0
		The maximum withdrawal amount at PHS	24,724,806	Due margin amount ⓘ	0
		Total margin requirement at PHS ⓘ	13,985,400	Margin acct. usage ratio ⓘ	55.97%
		Matched order margin ⓘ	13,985,400	Safety ratio	70%
		Match pending order margin ⓘ	0	1st warning ratio	80%
		Position loss ⓘ	0	2nd warning ratio	85%
		Due margin amount ⓘ	0	Forced liquidation ratio	90%
		Collateral usage ratio ⓘ	28.13%	Status	Safe
		Safety ratio	70%	Margin acct. supplementary amount ⓘ	0
		1st warning ratio	80%	Margin acct. forced liquidation amount	0
		2nd warning ratio	85%	Total fee and tax ⓘ	387,744
		Forced liquidation ratio	90%	Accrued position fee ⓘ	0
		Status	Safe	Trading fee ⓘ	18,900
		Trading acct. supplementary amount ⓘ	0	Trading fee at PHS	0
		Trading acct. forced liquidation amount	0	Trading fee pay for HNX	18,900
		Long power	3,506,900	Accrued collateral management fee ⓘ	320,000
		Short power	3,506,900	Income tax	48,844
		Total realized and unrealized Profit/Loss	0	Extra information	
		Total late payment amount on due ⓘ	0	Blocked cash amount	0

Step 2: Information fields have sub-branches, choose ‘+’ button to show detail:



At the bottom of the account summary screen, there is a section showing the content of collateral account general securities.

The screen "Collateral securities" is the screen of general search, allowing customer to search the balance of margin securities as collateral. On the Menu Bar, choose “Account” Tab => “Collateral securities”. The screen shows the margin securities information of the trading account, for which the customer has chosen default trading account in the tab Setting/Setting up the default account

Trading account information		BALANCE					
Balance		Trading account: 0001000006 [Search]					
Trading account	PP0	Cash on hand	Cash at VSD	Cash on collateral depositing process	Collateral on withdrawal process	Buy	
0001000006	-531,987,014	227,330,589	112,602,597,150	9,994,500	550,000,000	3,3	

4.2 Balance

“Balance” screen allows customer to search balance money

On the Menu Bar, choose “Account” tab => “Balance”.

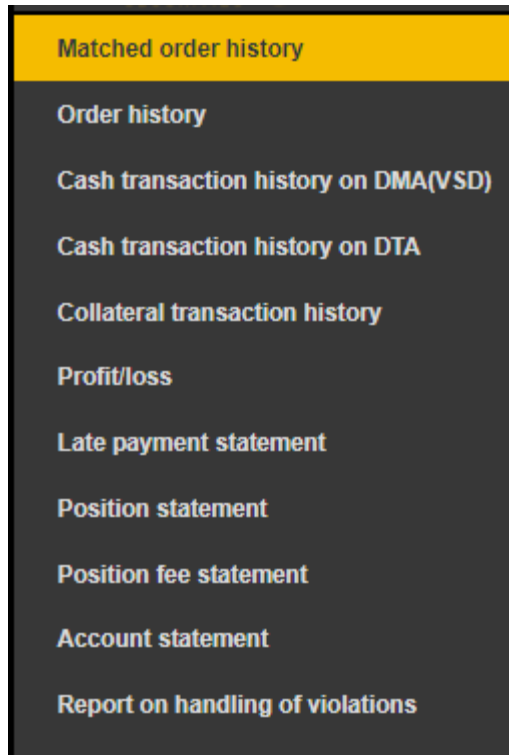
Trading account information		BALANCE						
Balance		Trading account: 0102000279 [Search]						
No.	PP0	Cash on hand	Cash at CCP	Pending transfer cash	Pending withdraw cash	Buying cash	Selling	
1	308,142,873	322,810,674	1,027,142,910	74,989,000	85,000,000	0		

V. REPORT

Reporting system such as:

- Match order history
 - Order history
 - Cash transaction history on DMA (VSD)
 - Cash transaction history on DTA
 - Collateral transaction history
 - Profit/loss
 - Late payment statement
 - Position statement
 - Position fee statement
 - Account statement
 - Report on handling of violations

Step 1: Choose report to see



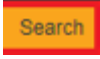
Step 2: Choose trading account


Trading account: 0001000025 | Instrument: ALL | From date: 02/12/2020 | To date: 09/12/2020 | Order type: All | Search

Date	Instrument	Normal/Put through	Order type	Match quantity	Average match price	Match value	Tr
09/12/2020	VN30F2110	Normal	Buy	4	925.40	370,160,000	
09/12/2020	VN30F2110	Normal	Buy	1	925.40	92,540,000	
08/12/2020	VN30F2110	Normal	Sell	171	932.00	15,937,200,000	
08/12/2020	VN30F2110	Normal	Sell	200	932.00	18,640,000,000	

Step 3: Enter information for search

- Code
- From date
- To date
- Transaction type: All/Long/Short

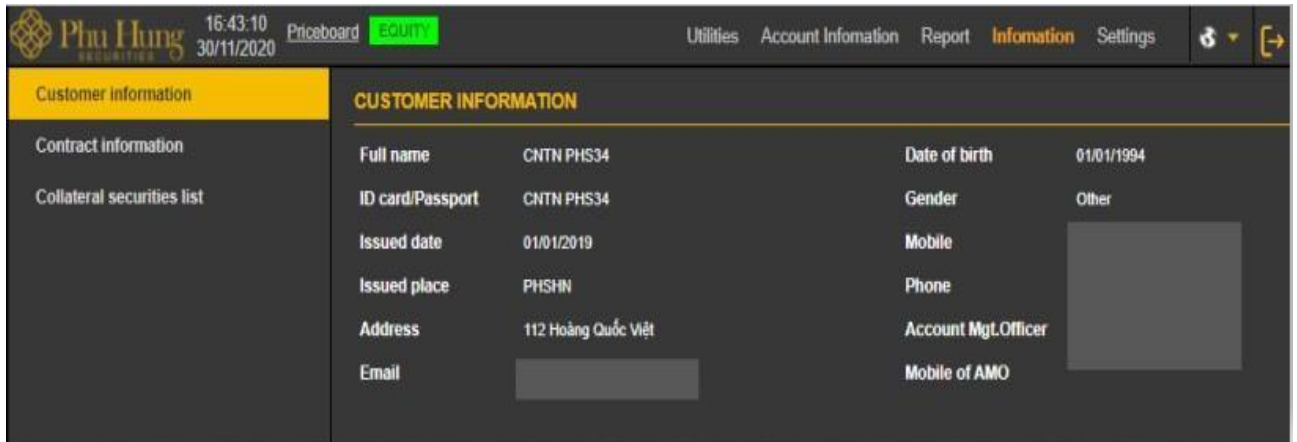
Step 4. Click  button to search for information

Step 5: Click  button to export Excel. Similarly, customer can check reports on the other report

VI. INFORMATION

6.1 Customer information

On the Menu bar, choose “**Information**” Tab => “**Customer information**”



CUSTOMER INFORMATION				
Contract information	Full name	CNTN PHS34	Date of birth	01/01/1994
Collateral securities list	ID card/Passport	CNTN PHS34	Gender	Other
	Issued date	01/01/2019	Mobile	
	Issued place	PHSHN	Phone	
	Address	112 Hoàng Quốc Việt	Account Mgt.Officer	
	Email		Mobile of AMO	

6.2 Contract information

On the Menu bar, choose “**Information**” Tab => “**Contract information**”

Contract information screen:

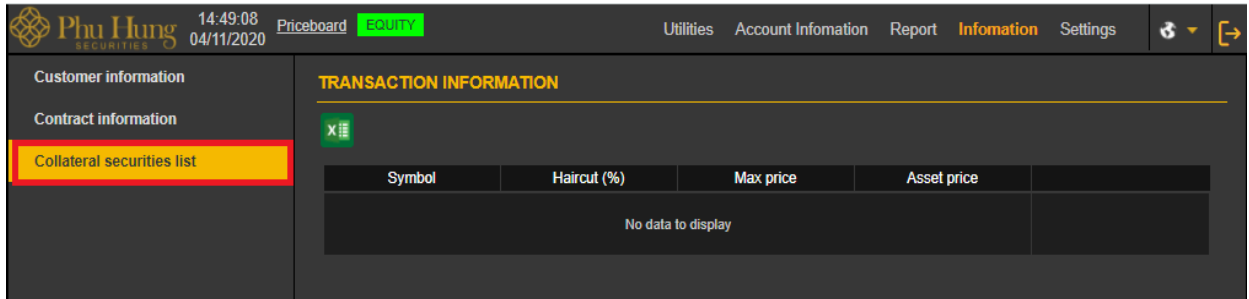
Contract code	GB05
CONTRACT INFORMATION	
Contract name	Bond Futures
Contract code	GB05
Property base	VGB5
Multiplier	10,000
Contract size	1,000,000,000 VND
Month maturity	The last month of the current quarter, the last month of the next two quarters
Transaction method	Order matching and agree
Trading time	Open 15 minutes in advance and close at the same time as the base market
Price range fluctuation	+/- 3%
Price step	1 VND
Trading unith	1 Contract
Minimum transaction volume	1 Contract
Last trading day	The day 15th of the month of due
Last Payment Date	The third working day after the last trading day
Payment methods	Physical
Method of determining the daily payment price	In accordance with VSD regulations
Method of determining the final payment price	In accordance with VSD regulations
Reference prices	The settlement price at the end of the day of the previous trading day or the theoretical price (for the first trading day)
Standard delivery bonds	
Position restriction	
Deposit level	

At contract code, choose contract code (Underlying asset)



6.3 Collateral securities list

On the Menu bar, choose “**Information**” Tab => “**Collateral securities list**”



VII. SETTINGS

Number of records per page 5

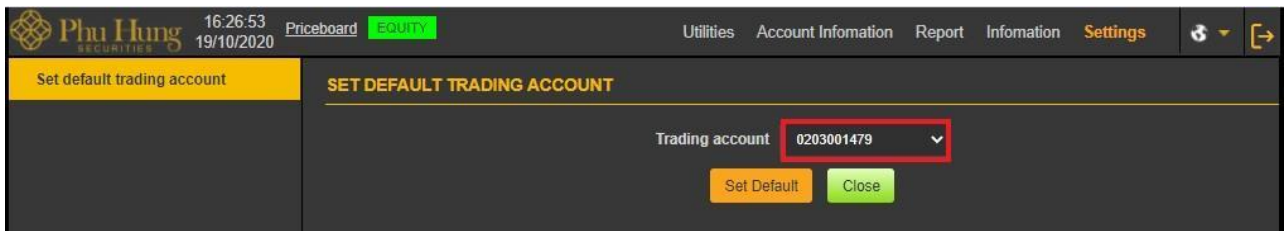
Set default trading account

- When customers log into the system, the first trading account will be displayed as the default account
- Customers can change their default trading accounts by going to Tab "Settings" => "Set default trading account".

Set default trading account

- Choose the trading account when customer want to set as default then click the button

Set Default



VIII. LOG OUT

- To log out of the system, click  button on the information bar.